CHAPTER

6

Volatile evolution and atmospheres of Trans-Neptunian objects

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6.1 Introduction

Several bodies in the Trans-Neptunian region have volatiles on their surfaces that have significant vapor pressures at the temperatures of the outer solar system: CH₄, N₂, and CO (with CO only detected on Pluto and Triton). When present, volatiles may raise significant atmospheres around these bodies at some times during the orbit of these Trans-Neptunian objects (TNOs). These would be vapor-pressure supported atmospheres, where the main atmospheric species exists also as a surface ice, and the surface pressure is a very sensitive function of that ice's temperature. Mars, Pluto, Triton, and, to some extent, Io are examples of vapor-pressure supported atmospheres. If, as expected, Eris, Makemake, and other TNOs are occasionally in this class at some time in their orbit, then vapor-pressure supported atmospheres would be more numerous than terrestrial atmospheres (Venus, Earth, and Titan), or gas giants. Rather than an oddity, vapor-pressure supported atmospheres may be the most common style of atmosphere in our solar system. These atmospheres are characterized by large seasonal pressure variations, and global transport of volatiles across the surface.

Vapor-pressure supported atmospheres may have been important in the evolution of the outer solar system. All the TNOs should have formed with some measure of these species, but not all TNOs have volatiles detected on their surface. The explanation is tied to the gaseous phase through atmospheric escape.

The atmospheres of Pluto and Triton have been extensively studied, by spacecraft, groundbased occultations and spectroscopy, and modeling. Great effort has been made to search for atmospheres around other TNOs. To date, only upper limits have been placed.

6.2 Spectral evidence of N_2 , CO, and CH₄ on the surfaces of TNOs

Methane ice has been reported or inferred on the surfaces Triton, Pluto, Eris, Makemake, Quaoar, Varuna, Sedna, and 2007 OR_{10} . CO and N_2 ices have been directly detected on Pluto and Triton. N_2 ice has been inferred from its effect on the CH₄-dominated spectra of Eris and Makemake, and possibly Quaoar and Sedna. We detail the evidence for the presence of such ices below and summarize it in Table 6.1. See de Bergh et al. (2013), Brown (2008, 2012), and Grasset et al. (2017) for earlier reviews, and Chapter 5 for more general discussion of the composition of TNO surfaces. Despite attempts to characterize surface compositions with photometric systems (Trujillo et al., 2011; Dalle Ore et al., 2015), spectral resolution of at least 500 seems to be needed for definitive detections of volatile ices, and we focus on spectral data in this chapter.

Triton: We consider Triton in the discussion of volatiles on TNOs, even though it is a moon of Neptune, because it is thought to be a captured TNO (McKinnon and Leith, 1995) and, more frivolously, its orbit clearly crosses that of Neptune. Its surface and atmospheric properties

Body	CH ₄ ice	N ₂ ice	CO ice
Triton	Multiple CH ₄ bands directly detected	$\rm N_2$ band directly detected. $\rm N_2$ -rich ice inferred from $\rm CH_4$ band shifts	Multiple CO bands directly detected
(134340) Pluto	Multiple CH ₄ bands directly detected	$\rm N_2$ band directly detected. $\rm N_2$ -rich ice inferred from $\rm CH_4$ band shifts	Multiple CO bands directly detected
(136199) Eris	Multiple CH_4 bands directly detected	N_2 -rich ice inferred from CH_4 band shifts	No spectral evidence
(136472) Makemake	Multiple CH_4 bands directly detected	N ₂ -rich ice inferred from CH ₄ band shifts	No spectral evidence
(50000) Quaoar	Multiple CH ₄ bands possibly directly detected	$\rm N_2$ band possibly directly detected. $\rm N_2$ -rich ice inferred from $\rm CH_4$ band shifts	No spectral evidence
(225088) 2007 OR ₁₀	CH ₄ inferred by analogy with Quaoar	No spectral evidence	No spectral evidence
(90377) Sedna	Weak evidence longward of 2.2 µm; more work is needed	Weak evidence reported	No spectral evidence
(20000) Varuna	Weak evidence at 2.3 µm; more work is needed	No spectral evidence	No spectral evidence
(90482) Orcus	Possible absorption at 2.2 µm	No spectral evidence	No spectral evidence

TABLE 6.1Volatile ices reported or suggested on TNOs.

are usefully compared to those of Pluto and other large TNOs. The volatiles N₂ and CH₄ were measured in Triton's atmosphere by Voyager during its 1989 flyby with its ultraviolet (UV) spectrometer (Broadfoot et al., 1989). Three relatively volatile ices, N₂, CH₄, and CO, and two nonvolatile ices, CO₂ and H₂O, were detected on Triton's surface from groundbased near-IR spectroscopy (Cruikshank et al., 1993, 2000). The spectral profile of the 2.15- μ m N₂ absorption band is temperature dependent, and transitions from very broad for the higher-temperature hexagonal β -N₂ phase above 35.61 K to very narrow for the colder cubic α -N₂ phase. Noting this, Quirico et al. (1999) showed that the N₂ ice was in the warmer β phase on Triton. In addition, the spectrum of CH₄ ice diluted in N₂ is shifted toward shorter wavelengths with respect to pure CH₄ ice (Quirico and Schmitt, 1997; Protopapa et al., 2015). Therefore, it was concluded that solid CH_4 on Triton exists predominantly diluted in N_2 (Quirico et al., 1999), with subsequent observations suggesting the presence of some pure CH_4 ice (Merlin et al., 2018). These ices are not uniformly distributed across Triton's surface, as seen from the variation of its spectrum with subobserver longitude as the body rotates (Grundy and Young, 2004; Grundy et al., 2010; Holler et al., 2016). These studies suggest that the nonvolatiles H_2O and CO_2 may dominate the terrains nearest Triton's current summer pole, that the more volatile species, N₂ and CO, are colocated, and that the CH₄ distribution and mixing state may vary with depth and longitude. Furthermore, there is evidence for isolated areas of CH₄ diluted in N₂ that is too fine grained to allow direct detection of the inherently weak 2.15- μ m N₂ band, where the presence of the N₂ is revealed only by its effect of shifting the CH₄ spectrum. Further clues to the distribution of Triton's ices comes from a long-time base of observations and Triton's changing aspect. Infrared spectra from 1986 to 1992—approaching Triton's summer solstice in 2000—show a dramatic decrease in Triton's $2.2-2.4 \,\mu\text{m}$ CH₄ band (Brown et al., 1995), while post-solstice spectra 2002–14 show a mild increase in CH_4 band depth (Holler et al., 2016), suggesting a combination of changing aspect and perhaps active volatile transport. Voyager flew no infrared spectrometer, and so it is likely that these speculations will remain unconfirmed until a mission to Neptune/Triton can fly an infrared spectrometer. *Pluto*: Pluto's surface has been studied with disk-integrated ground-based spectra in the

Pluto: Pluto's surface has been studied with disk-integrated ground-based spectra in the visible, near-infrared, and mid-infrared (see the review by Cruikshank et al., 2015) and at geologically relevant spatial scales by the LEISA-infrared spectrometer and MVIC color imager on NASA's New Horizons spacecraft (see Chapter 12). The N₂ ice on Pluto is concentrated in a large, deep, N₂-filled basin called Sputnik Planitia, near the equator and opposite Charon, in which some CO and CH₄ are diluted in solid solution with large grained or annealed β -N₂. N₂-rich ice of similar composition is also seen at mid-latitudes, 35–55°N (Protopapa et al., 2017; Schmitt et al., 2017). It is now understood that Pluto has more CH₄ than Triton overall. Areas of CH₄-rich ice was first inferred from ground-based spectra, and were mapped with the LEISA spectrometer to be predominately (i) at a northern cap, north of ~55°N, (ii) in a band from 20° to 35°N, and (iii) on the area named Tartarus Dorsae on the eastern terminator limb of the encounter hemisphere near 220–250°E, 10°S to 30°N.

Eris: The visible and near-infrared spectrum of Eris clearly shows strong CH₄ absorption features (Brown et al., 2005; see review by Brown, 2008 and analysis by Tegler et al., 2012). Absorption at 1.684 μ m indicates pure CH₄ or CH₄-rich ice (Dumas et al., 2007), and the spectral shifts are much smaller than for either Triton or Pluto spectra. The depth, shape, and the precise wavelengths of the CH₄ features on Eris can be modeled to derive grain size,

dilution state, and stratification of CH₄ ice, even without the direct detection of the N₂ feature (e.g., Merlin et al., 2009). The issue of stratification—that is, whether the CH₄ concentration state is constant or varying with depth—is particularly interesting because it relates to the evolution of Eris's ices over its 561-year orbit, which in turn is determined by the order in which different species freeze out onto the surface each orbit after perihelion. Different studies have disagreed on the stratification, variously concluding that the dilute CH₄ lies above pure CH₄ (Licandro et al., 2006a), below pure CH₄ (Abernathy et al., 2009), sandwiched between two layers of pure CH₄ (Merlin et al., 2009), or that the stoichiometry of CH₄:N₂ is constant with depth (Tegler et al., 2012). Clearly, better data and continued modeling is needed on this question. Eris's surface temperature is almost certainly below the α - β phase transition for N₂ (Sicardy et al., 2011), given its bright geometric albedo $p_V = 0.96$. Since the α -N₂ absorption feature is weaker and much narrower than that of β -N₂, it has eluded direct detection on Eris.

Makemake: Very strong CH₄ absorption is seen in the visible and infrared spectrum of Makemake with spectral shifts to shorter wavelengths, but with smaller shifts than are seen on Eris, Pluto, or Triton (Licandro et al., 2006b; Brown et al., 2007; Tegler et al., 2007, 2008; Lorenzi et al., 2015; Perna et al., 2017). This suggests that although some CH₄ is in solution with N₂, N₂ itself is not as prevalent on Makemake as on the previous three bodies. At visible wavelengths, Tegler et al. (2007) detected four absorption features between 0.54 and 0.62 μ m that they attributed to CH₄, but ice-phase laboratory spectra of these features are lacking. The nonvolatile irradiation products of CH₄ are also seen on Makemake (Brown et al., 2015).

Quaoar: The spectrum of Quaoar is dominated by H₂O ice, analogous to H₂O-rich Charon. Jewitt and Luu (2004) interpreted absorption near 1.65 µm as crystalline water ice and absorption near 2.2 µm as ammonia hydrate, and reported no detected CH₄ absorption. Improved SNR at 2.2–2.4 µm strengthened the case for CH₄ in the spectrum (Schaller and Brown, 2007b), which, if real, was nevertheless subtle when superimposed on the significant H₂O absorption. Guilbert et al. (2009) claim a marginal detection ($2 \pm 2\%$ deep) of the 1.724 µm feature due to CH₄ ice, which they say adds weight to the attribution of CH₄ ice for the 2.2 µm feature. Dalle Ore et al. (2009) model the near-IR spectrum plus photometry at 3.6 and 4.5 µm using CH₄ to explain both the 2.2 µm absorption and the mid-IR photometry, with no need for ammonia hydrate, and propose that N₂ ice in the *β*-phase may cover 20% of the surface, as seen by relatively subtle effects near 2.15 µm and by photometry at 3.6 and 4.5 µm. Barucci et al. (2015) investigate the 1.67-µm CH₄ band, and N₂ implied by the shifts in the 1.67-µm CH₄ band, but measuring this shift is complicated by the broad 1.65-µm crystalline H₂O band. In short, on Quaoar strong H₂O bands complicate the definitive detection and analysis of CH₄, and the derived presence of N₂ from CH₄ shifts.

2007 OR_{10} : The large TNO 2007 OR_{10} (Pál et al., 2016) shows an extremely red visible spectrum, and its near-IR spectrum shows water-ice absorption (Brown et al., 2011). 2007 OR_{10} shares both traits with Quaoar. This similarity with Quaoar is indirect evidence that CH_4 may also be present on 2007 OR_{10} (Brown et al., 2011), if one accepts both the equivalence of surface type and the evidence of CH_4 on Quaoar. Both Quaoar and 2007 OR_{10} are near the CH_4 retention line of Schaller and Brown (2007a) (see Section 6.4).

Sedna: Distant Sedna, currently at ~85 AU, has a spectrum that is difficult to interpret. Barucci et al. (2005) reported hints of a Triton-like spectrum with β -N₂ (an unexpected N₂ phase at Sedna's distance) and CH₄, from spectra with a spectral resolution of only 100 in the near-IR, while Trujillo et al. (2005) reported a featureless spectrum, from spectra at a binned resolution of 215 near 2.15 μ m. Near-infrared spectra were analyzed in combination with Spitzer photometry at 3.6 and 4.5 μ m (Barucci et al., 2010; Emery et al., 2007). No diagnostic CH₄ absorption bands were evident, but a decrease in albedo longward of 2.2 μ m was interpreted as due to CH₄ and C₂H₆ (ethane), or possibly serpentine, and the addition of N₂ was also consistent with the Spitzer photometry (Barucci et al., 2010). The surface appears heterogeneous (Barucci et al., 2010).

Varuna: The spectrum of Varuna is in general well explained by various nonvolatiles, such as water ice, olivine, pyroxene, tholin, and amorphous carbon as a darkening agent. The strong CH₄ absorption bands at 1.67, 1.72, 1.8, or 2.21 μ m are not detected in the current best spectra (Lorenzi et al., 2014), limiting the amount of CH₄ ice to less than 10% of the surface. Lorenzi et al. (2014) discuss how the addition of a small amount methane ice slightly improves the match to the data, but as their data have a spectral resolution of only 50, further work is critically needed to confirm or constrain CH₄ on Varuna.

Orcus: The water-dominated near-infrared spectrum of Orcus (de Bergh et al., 2005; Fornasier et al., 2004; Trujillo et al., 2005) has an absorption feature near 2.2 μ m, compatible with absorption by CH₄, NH₃, or NH₄⁺ (Barucci et al., 2008; Delsanti et al., 2010; Carry et al., 2011; DeMeo et al., 2010). Because of the lack of other CH₄ absorptions, specifically those near 1.67 and 1.72 μ m, it is likely that CH₄ is not the main cause of the 2.2- μ m absorption feature.

6.3 Volatile-supported atmospheres

For a TNO with little or no atmosphere, the equilibrium surface temperature, T_0 , will depend on its rotation rate and thermal inertia (i.e., fast vs. slow rotator), latitude (λ), subsolar latitude (λ _{sol}), and time of day (e.g., hour angle of the Sun, *h*). The simplest approach is to assume thermal emission balances absorbed insolation, and that the atmosphere is nearly transparent, in which case the equilibrium surface temperature is

$$\epsilon \sigma T_0^4 = \overline{\mu(\lambda, \lambda_{\text{sol}}, h)} \left(\frac{S_{1\text{AU}}(1-A)}{\eta R^2} \right)$$
(6.1)

where ϵ is the emissivity, σ is the Stefan-Boltzmann constant, S_{1AU} is the normal solar insolation at $1 \text{AU} (1367 \text{ W m}^{-2})$, A is the bolometric Bond albedo, and R is the heliocentric distance in AU. $\mu(\lambda, \lambda_{sol}, h)$ is the average cosine of the incidence angle for the problem at hand: For the subsolar temperature on a slow rotator, T_{ss} , $\overline{\mu} = 1$; for the equatorial latitude for fast rotator, $\overline{\mu} = 1/\pi$; for a single characteristic equilibrium temperature balancing the global average of the insolation, T_{eq} , $\overline{\mu} = 1/4$. η is the so-called "beaming factor" (e.g., Spencer, 1990; Harris, 1998; Müller et al., 2010; Lellouch et al., 2013), which can raise the temperature for a rough surface (or lower it for a body with high thermal inertia in some models of thermal emission). Many of the smaller TNOs have $A \approx 5\%$, while the largest TNOs have $A \approx 60\%$ -80% (Lellouch et al., 2013). Thus, the temperatures relevant for TNOs range from $T_{ss} \approx 70$ K for $\epsilon = 1$, $\eta = 1$, A = 5% at R = 30 AU down to $T_{eq} \approx 20$ K for $\epsilon = 1$, $\eta = 1$, A = 80% at 90 AU. Detached objects at R much greater 90 AU will have even colder temperatures. Eq. (6.1) ignores latent heat of sublimation and internal heat flux, and the conduction of heat into or from the subsurface is empirically included in the beaming factor.

The surface pressure of an atmosphere over a pure ice in thermodynamic equilibrium (the sublimation pressure, $p_s(T_0)$) is a function only of the ice temperature (Fray and Schmitt, 2009). Of the three volatiles seen in the outer solar system, N₂, CO, and CH₄, N₂ is by far the most volatile (Fig. 6.1). TNOs with volatiles show a mix of ices: CH₄ or CO diluted in N₂-rich ice; N₂ or CO diluted in CH₄-rich ice; or pure CH₄ ices. The mixtures present complicated surface-ice interaction, including lag deposits and the influence of CH₄-rich warm patches (see review by Trafton et al., 1998). Trafton (2015) and Tan and Kargel (2018) have more recent work on the mixtures, including the exciting conclusion that the CH₄ and N₂ partial pressures above a mixture of CH₄ saturated in N₂-rich ice plus N₂ saturated in CH₄ ice is independent of the bulk N₂:CH₄ ice ratio, but these have not been tested under laboratory conditions. Moreover, as seen in the compilation by Fray and Schmitt (2009), laboratory measurements for the pure ices only exist for temperatures above 54.78 K for CO and above 48.15 K for CH₄; and the only laboratory data below 35.4 K for N₂ were published in 1960 (Borovik et al., 1960).



FIG. 6.1 Sublimation pressure (*left axis*) for N₂, CO, and CH₄ (Fray and Schmitt, 2009; FS09). The column density (*right axis*) is calculated for a body with bulk density ρ of 1.9 g cm⁻³ and a surface radius *r* of 500 km for N₂ or CO ($\mu = 28$) For a given pressure, the column density scales as $1/(\mu \rho r_0)$. Thus, the plotted column density (*right axis*) also applies for $\rho = 1.9 \text{ g cm}^{-3}$ and a surface radius *r* of 875 km for CH₄ ($\mu = 16$). *Thick lines* show the ranges of temperatures at or below 60 K from laboratory measurements included in FS09: For N₂, 21.20–26.40 K (Borovik et al., 1960), 35.40–59.17 K (Frels et al., 1974), and 54.78–61.70 K (Giauque and Clayton, 1933); for CO, 54.78–68.07 K (Shinoda, 1969); and for CH₄, 48.15–77.65 K (Tickner and Lossing, 1951) and 53.15–90.66 K (Armstrong et al., 1955). The α - β phase transition for N₂ is indicated (35.61 K). The *dot-dashed line* indicates the rough transition between ballistic and collisional atmospheres, for $\rho = 1.9 \text{ g cm}^{-3}$ and $r_0 = 500 \text{ km}$.

The surface pressure is the weight of the column of gas, and so is closely related to the column density at the surface, N_0

$$p_{\rm s}\left(T_0\right) \approx mg_0 N_0 \approx mg_0 H_0 n_0 \tag{6.2}$$

where *m* is the mass of molecule, and g_0 is surface gravity, given by $g_0 = GM/r_0^2$, where *G* is the gravitational constant, $M = \rho(4/3)\pi r_0^3$ is the TNO mass, ρ is the TNO bulk density, and r_0 is the surface radius. $H = kT_0/mg_0$ is the pressure scale height at the surface, *k* is Boltzmann's constant, and n_0 is the local number density at the surface. The relation is only approximate for small bodies, because gravity decreases with altitude.

For small column densities, the atmosphere can be described as a surface-bounded exobase, since if $N_0 \sigma_{\text{eff}} \ll 1$, where σ_{eff} is the effective collision cross-section, then an escaping molecule is not likely to suffer a collision on its way out. This condition is equivalent to a large Knudsen number, Kn, the ratio of the mean free path between collisions, $l_{\text{mfp}} = 1/(n_0 \sigma_{\text{eff}})$, to a characteristic length scale. The scale in question depends on the problem at hand; taking the scale height for the length scale (Zhu et al., 2014), Kn = 1 is one classic definition of an exobase. At the surface:

$$Kn_0 = \frac{l_{\rm mfp}}{H_0} = \frac{1}{N_0 \sigma_{\rm eff}}$$
 (6.3)

 $\sigma_{\rm eff}$ is $\sqrt{2}$ times larger than the collisional cross-section, $\sigma_{\rm coll}$ (Johnson et al., 2015). Since $\sigma_{\rm coll} \approx 0.46 \times 10^{-14}$ for CH₄ (Atkins and de Paula, 2009) or $\sigma_{\rm coll} \approx 0.43 \times 10^{-14}$ for N₂ (Kaye and Laby, 1973), with some dependence on temperature, atmospheres with N₀ greater than ~1.6 × 10¹⁴ molecule cm⁻² can be considered collisional. This is achieved for N₂ or CH₄ at extremely small surface pressures (Fig. 6.1), for example, ~3 × 10⁻⁸ µbar for CH₄ on a $\rho = 1.6 \,\mathrm{g \, cm^{-3}}$, 100-km radius body, or ~8 × 10⁻⁷ µbar for N₂ on a $\rho = 2.5 \,\mathrm{g \, cm^{-3}}$, 100-km radius body, or ~8 × 10⁻⁷ µbar for N₂ on a $\rho = 2.5 \,\mathrm{g \, cm^{-3}}$, 100-km radius body. Extrapolating to these small pressures from the pressures measured in the lab is questionable, but application of the Fray and Schmitt (2005) compilations predicts that the transition to continuum atmospheres happens near temperatures of ~29 K for CH₄, and ~21 K for N₂, depending weakly on the TNO radius and density. For larger column densities, the atmosphere becomes opaque to UV radiation. This transition occurs for Ly- α at N₀ ~ 10¹⁸ molecule cm⁻² (T₀ ~ 26 K) for N₂ with 3% CH₄ gaseous molar mixing ratio, or N₀ ~ 3 × 10¹⁶ molecule cm⁻² (T₀ ~ 32 K) for pure CH₄ (Johnson et al., 2015).

The Jeans parameter at the surface, or ratio of potential energy to thermal energy, is a measure of how tightly bound the atmosphere is, and is given by

$$\lambda = \frac{gm}{rkT} = \frac{U}{kT} = \frac{r}{H} = \frac{GMm}{r^2}$$
(6.4)

The Jeans parameter at the surface, λ_0 , varies from ~0.1 (unbound) for CH₄ gas on 100-km radius objects at 70 K to ~100 (bound) for N₂ gas on 1000-km radius objects at 20 K. Although the composition surely varies from object to object, assuming each TNO has N₂ on its surface it can be seen from Fig. 6.2 that due to the large range of temperatures, the TNO atmospheres can have a large range of λ_0 and N₀, with implications for atmospheric escape, seasonal variation, and detectability.



FIG. 6.2 Range of surface Jeans parameter, λ_0 , versus total column density, N_0 , over the orbits of a number of TNOs assuming an N₂ atmosphere (Johnson et al., 2015) using $\varepsilon = 0.8$ and A = 0.67, appropriate for an early TNO with a bright surface. For Makemake, 2007 OR₁₀, and Sedna we used $\rho = 1.8 \text{ g cm}^{-3}$. Sedna's values are shown only near perihelion as its aphelion ($N_0 \ll 10^{14} \text{ cm}^{-2}$) is off-scale. *Dashed line*: $N_0 = 10^{18} \text{ N}_2 \text{ cm}^{-2}$; to the right the CH₄ and N₂ components are sufficient so that escape is mainly driven by solar heating of the atmosphere. *Dotted-dashed line*: Surface-heating-induced escape rate is smaller than Jeans formula below this line (warmer surface or lower surface gravity) and greater above this line. *From Johnson, R.E., Oza, A., Young, L.A., Volkov, A.N., Schmidt, C., 2015. Volatile loss and classification of Kuiper belt objects. Astrophys. J. 809, 43. Reproduced by permission of the AAS.*

6.4 Expected volatile retention

In general, only the largest TNOs have had volatiles detected or suspected on their surfaces (Table 6.1). This is not merely an observational effect (i.e., because higher-quality spectra are more easily obtained on larger TNOs), but is linked to the escape of their initial inventory of volatiles (Schaller and Brown, 2007a; Levi and Podolak, 2009; Johnson et al., 2015). Volatile escape can be driven by heating of the surface by solar visible radiation and by absorption of solar radiation in the atmosphere. The relative importance of these processes depends on how tightly bound the atmosphere is, parameterized by the surface Jeans parameter λ_0 , and its UV opacity, parameterized by its surface column density, N_0 . Since the work of Schaller and Brown (2007a) and Levi and Podolak (2009), there has been progress in estimating the escape rate in the transition to the fluid regime for transparent atmospheres (Volkov et al., 2011a,b), and the role of atmospheric heating on escape (Johnson et al., 2013a,b, 2015), as well as new observations relating to initial volatile inventories (Glein and Waite, 2018) and the complexity of escape at Pluto (Gladstone and Young, 2019).

In order to provide a link between the presence of volatiles, the bulk properties, and the orbits of TNOs, Schaller and Brown (2007a) considered sublimation-induced escape directly

from the TNO surface, and updated this work in Brown et al. (2011). Starting with the equilibrium surface temperature (e.g., Eq. 6.1 with $\overline{\mu} = 1/4$ and $\eta = 1$), they used the Jeans expression for escape from an exobase, evaluated at the conditions of the surface (subscripted here as SJ for surface Jeans):

$$\Phi_{\rm SI} = 4\pi r_0^2 n_0 \left(\bar{v}/4 \right) \left(1 + \lambda_0 \right) \exp(-\lambda_0) \tag{6.5}$$

where Φ is the total escape rate of volatiles in molecule s⁻¹, and $\bar{v} = \sqrt{8kT/\pi m}$ is the mean molecular speed. Using these expression for T_0 and Φ , they divided the TNOs into those that would likely keep their volatiles and those that likely lost their initial volatile inventory over the age of the solar system (Fig. 6.3).



FIG. 6.3 Plot of volatile retention and loss in the Kuiper belt. Using the surface-Jeans formulation for atmospheric escape, objects to the left of the CH_4 , CO, and N_2 lines lose surface volatiles over the age of the solar system. From Brown, M.E., Burgasser, A.J., Fraser, W.C., 2011. The surface composition of large Kuiper belt object 2007 OR_{10} . Astrophys. J. 738, L26, updated from Schaller, E.L., Brown, M.E., 2007. Volatile loss and retention on Kuiper belt objects. Astrophys. J. 659, L61–L64. Reproduced by permission of the AAS.

The surface-Jeans estimate of the escape rate is roughly accurate if the atmosphere is noncollisional, $N_0 \leq 10^{14}$ molecule cm⁻² (Fig. 6.4), which holds for very distant TNOs. However, Eq. (6.5) is problematic, even for atmospheres that are transparent to solar heating. Levi and Podolak (2009) subsequently used a hydrodynamics model that transitioned to Jeans escape. Volkov et al. (2011a,b) used a molecular kinetic model, the direct simulation Monte Carlo (DSMC) method (Bird, 1994), to calculate the surface-heated escape rate, Φ_S , from a single-component atmosphere for a range of surface values of T_0 and N_0 , and then expanded this range to very thick atmospheres by coupling iteratively to a fluid model in Johnson et al. (2015), thereby covering the full range of escape due to surface heating. Note that Volkov et al. (2011a,b) use the *radial* Knudsen number, scaling the mean free path by the surface radius, which is appropriate for small bodies with extended atmospheres (i.e., small λ_0). We denote that here as Kn_0^r (r for radial) and relate it to Eq. (6.3) through $Kn_0^r = l_{mfp}/r_0 = Kn_0/\lambda_0$. Johnson et al. (2015) fit an analytic expression to the numerical results of Volkov et al. (2011a,b) to find a correction to the surface-Jeans flux that depends on the surface values of the Knudsen number

6. Volatile evolution and atmospheres of Trans-Neptunian objects

and Jeans parameter. We use Kn_0^r here to allow direct comparison with Volkov et al. (2011a,b) and Johnson et al. (2015).

$$\Phi_{\rm S} = \Phi_{\rm SJ} / [(Kn_0^r)^{0.09} + \lambda_0^{2.55} \exp(-\lambda_0) / (70Kn_0^r)]$$
(6.6)

For cold atmospheres and large bodies (high gravity), the escape rate driven only by the surface temperature is throttled for a bound atmosphere by the $exp(-\lambda_0)$ term in Eq. (6.5), (Fig. 6.4). This can be overcome by direct absorption of solar radiation in the atmosphere. Assuming $\sim 2\%$ -3% CH₄ in the more volatile N₂ background gas, Johnson et al. (2015) calculated that the column of gas that is sufficient for the UV and EUV to be primarily absorbed in the atmosphere is $N_0 > N_C$, where $N_C \approx 10^{18}$ molecule cm⁻² is the minimum column for UV absorption. Prior to the New Horizons encounter, models of Pluto's atmospheric loss coupled a fluid simulation to a DSMC molecular kinetic simulation (e.g., Erwin et al., 2013; Tucker et al., 2012) to describe the UV/EUV absorption versus depth as well as the escape from the exobase region. In their Pluto modeling, the simulated loss rate was matched reasonably well by the simple so-called energy-limited escape model, in which the gravitational energy lost by the escaping molecules is set equal to the heating produced by the absorbed UV radiation. The limits to the applicability of that model were explored in Johnson et al. (2013a,b) and subsequently applied to other TNOs (Johnson et al., 2015). Ly- α dominates the absorbed UV flux if CH₄ is optically thick. Since scattered interplanetary Ly- α and stellar flux contribute to the UV flux in the Trans-Neptunian region, the energy-limited flux falls off more slowly than R^2 . Eq. (6.7) gives the expression adopted by Johnson et al. (2015) for the escape due to UV/EUV heating in the upper atmosphere, $\Phi_{\rm U}$, scaled to the detailed rate calculated for Pluto, $\Phi_{\rm P}$

$$\Phi_{\rm U} = \Phi_{\rm P} \left(\rho_{\rm P} / \rho \right) \left[(30/R)^2 + 0.09 \right] \tag{6.7}$$

where $\rho_{\rm P}$ and ρ are the density of Pluto and the TNO, *R* is the heliocentric distance in AU, and $\Phi_{\rm P}$ is the escape rate at Pluto due to the direct solar UV flux. The second term in the brackets accounts for the so-called interplanetary UV flux (Gladstone, 1998) ignored in those papers but which becomes important at very large *R*. Johnson et al. (2015), based on Erwin et al. (2013) and Tucker et al. (2012), adopted $\rho_{\rm P} = 2.05 \,{\rm g \, cm^{-3}}$, and $\Phi_{\rm P} = 120 \,{\rm kg \, s^{-1}}/m_{\rm N_2} = 2.6 \times 10^{27} \,{\rm N_2 \, s^{-1}}$. Johnson et al. (2015) combined surface and upper atmospheric heating by restricting $\Phi_{\rm U}$ to $N_0 > N_{\rm C}$ and choosing the larger of $\Phi_{\rm S}$ and $\Phi_{\rm U}$ (Fig. 6.4). Fig. 6.4 only gives a rough guide for ranges in which global models of the two escape processes dominate. Johnson et al. (2015) integrated the combined atmospheric loss rates over the lifetime of individual TNOs (Table 2 in Johnson et al., 2015, which did not include Orcus and Varuna). Besides Charon, which has likely lost its initial volatiles, they concluded that for the objects studied only Makemake, Quaoar, and 2007 OR₁₀ likely lost a large fraction of their volatiles, primarily due to short wavelength absorption in their upper atmospheres, while Pluto, Triton, and Sedna had retained most of theirs. While similar conclusions were reached by Schaller and Brown (2007a), the fraction of volatiles retained by Pluto, Triton, and Sedna differed.

The picture created by these simulations has been altered by two recent spacecraft measurements. One is our more recent understanding of Pluto's atmosphere based on the New Horizons observations (Gladstone and Young, 2019). Prior to the flyby, the expected escape rate was $\sim [0.4-4] \times 10^{27} N_2 s^{-1}$ (Zhu et al., 2014), consistent with energy-limited escape. The escape rate that is derived from the observed density and temperature profile is much



FIG. 6.4 Diagram of escape regimes. *Yellow*: The nine bodies in Table 6.1 have been plotted: *Solid vertical lines* show the variation in the average equilibrium temperatures between aphelion and perihelion (Eq. 6.1), using diameters and albedos from the *tnosarecool* database (i.e., updated from Brown et al., 2011 and Johnson et al., 2015). *Black*: Diameters and temperatures for other bodies are taken from the retention plot of Brown et al. (2011), as plotted in Fig. 6.3. *Solid red*: Lines of constant column density at the surface for two critical values: for $N_0 = 10^{14}$ cm⁻³ defining the classical surface-bounded exobase, and $N_0 = 10^{18}$ cm⁻³ for an N₂ atmosphere with 3% CH₄ to be opaque to Ly- α . *Dashed red*: Lines of constant Jeans parameter for two critical values dividing the unbound and bound atmosphere (Volkov et al., 2011b). *Hashed red*: Atmospheric heating dominates the loss rate in the shaded region for (adapted from the shaded regions in Fig. 3 of Johnson et al., 2015) A = 0.1 (*single hash*) and A = 0.67 (*cross-hatched*). Outside the hashed area, the surface-heated escape rate for warm, small bodies is much smaller than the surface-Jeans estimate, but the surface-heated escape rate for cold, large bodies is slightly larger than the surface-Jeans estimate (cf. *dot-dashed line* in Fig. 6.1).

lower: $(3-7) \times 10^{22} N_2 s^{-1}$ and $(4-8) \times 10^{25} CH_4 s^{-1}$ (Young et al., 2018). Applying these new observations has been confounded by the fact that the principal cooling agent in Pluto's upper atmosphere, which also compressed the extent of Pluto's atmosphere, is still uncertain (Gladstone and Young, 2019). Pluto's current escape rate must be better understood before volatile loss can be calculated for Pluto at other epochs, or for other bodies. The second is new constraints on the initial inventory of volatiles. While Schaller and Brown (2007a) and Johnson et al. (2015) used an N₂ to H₂O mass ratio of 2%, Glein and Waite (2018) use the mixing ratio of N₂ measured in the coma of 67P (Rubin et al., 2015) to derive an N₂ to H₂O mass ratio of only [0.7–6] × 10⁻⁴.

6.5 Variation of atmospheres over an orbit

Because the sublimation pressures depend exponentially on the temperatures of the volatile ices, the gases surrounding volatile-bearing TNOs vary with heliocentric distance and subsolar latitude, and possibly time of day and latitude. This was initially modeled for Triton and

Pluto (see reviews by Spencer et al., 1997; Yelle et al., 1995). Since those reviews, trends of increasing atmospheric pressure for both Triton and Pluto were observed using the technique of stellar occultation, with an increase by factors of 2 and 3, respectively (Elliot et al., 1998, 2000a,b, 2003a; Olkin et al., 1997, 2015; Meza et al., 2019; see Section 6.6). The new time base of atmospheric observations and the New Horizons flyby of Pluto inspired new models of seasonal variation (e.g., Young, 2012, 2013, 2017; Hansen et al., 2015; Olkin et al., 2015), including general circulation models (e.g., Forget et al., 2017) and evolution of atmospheres on the timescale of millions of years (e.g., Bertrand and Forget, 2016; Bertrand et al., 2018).

When N₂ was discovered on the surface of Eris, authors speculated that volatiles on TNOs, especially N₂, could raise temporary atmospheres near perihelion (e.g., Dumas et al., 2007). This was generalized in Stern and Trafton (2008), and applied numerically to the known or suspected volatile-bearing TNOs by Young and McKinnon (2013). When thinking about atmospheres on TNOs, it is useful to distinguish three types: global, collisional, and ballistic. For global sublimation-supported atmospheres, such as Mars or current-day Pluto and Triton, volatiles sublime from areas of higher insolation, and recondense on areas of lower insolation, transporting latent heat as well as mass (Trafton, 1984; Ingersoll, 1990; also see reviews by Spencer et al., 1997; Yelle et al., 1995; Stern and Trafton, 2008). As long as the volatiles can be effectively transported, the surface pressures and the volatile ice temperatures will be nearly constant across the surface. Sublimation winds transport mass from latitudes of high insolation to low insolation. Trafton (1984) showed that pressures stay within 10% across the surface if the sublimation winds (v) are less than 7.2% of the sound speed (v_s). The sublimation wind speeds can be found by conservation of mass; the mass per time crossing a given latitude equals the integral of the net deposition from that latitude to the pole. The wind speeds depend on the subsolar latitude (Trafton, 1984), if we consider diurnally averaged insolation; higher wind speeds are needed to transport volatiles pole to pole (high subsolar latitudes) than equator to pole (low subsolar latitudes). For an "ice ball" uniformly covered in volatiles, the maximum sublimation wind speed, v, can be expressed as

$$vmN_0 = \xi Sr/L \tag{6.8}$$

where $S = S_{1AU}(1 - A)/R^2 = 4\varepsilon\sigma T_{avg}^4$ is the absorbed normal insolation, and *L* is the latent heat of sublimation, in energy per mass. ξ in Eq. (6.8) is a numerical factor accounting for the subsolar latitude, λ_{Sun} . We calculated ξ numerically, following the prescription of Young (1993). From these calculations, ξ is well approximated by a cubic expression

$$\xi(\lambda_{\rm Sun}) \approx 0.044 + 0.148(\lambda_{\rm Sun}/90^{\circ}) + 0.4012(\lambda_{\rm Sun}/90^{\circ})^2 - 0.296(\lambda_{\rm Sun}/90^{\circ})^3 \tag{6.9}$$

For a 400–1400-km radius body uniformly covered with CH₄ ice to have a global atmosphere, the pressure needs to be greater than ~17–295 nbar for polar illumination (3.1×10^{19} to 1.6×10^{20} cm⁻², 41.0–45.6 K; Fig. 6.5), or 1.9–33 nbar for equatorial illumination (3.6×10^{18} to 1.8×10^{19} cm⁻², 38.1–42.0 K). For N₂, the pressures are similar, so the temperatures are lower: 14–244 nbar for polar (1.5×10^{19} to 7.4×10^{19} cm⁻², 29.1–31.9 K) or 2–28 nbar for equatorial (1.8×10^{18} to 68.5×10^{18} cm⁻², 27.2–29.7 K). N₀ increases slightly faster than *r* because both *S* and N₀ increase with temperature; p_0 increases even faster, slightly faster than r^2 , because of its dependence on g_0 (Eq. 6.2).

The temperatures in Fig. 6.5 are highly simplified. Seasonal thermal inertia can be important, even at the long timescales in the outer solar system. More significantly, bodies are unlikely to be uniformly covered in volatiles. For example, much of the N_2 on Pluto is located in the basin known as Sputnik Planitia (Moore et al., 2016), and Triton's N_2 may be perennially confined to the southern hemisphere (Moore and Spencer, 1990).



FIG. 6.5 In a global atmosphere, pressures are high enough so that the sublimation winds can keep surface pressures and volatile ice temperatures nearly uniform over the globe. Minimum temperatures for a global atmosphere are plotted, assuming a surface uniformly covered with CH₄ ice (*blue*) or N₂ ice (*red*), for two extreme subsolar latitudes. Several bodies would have global atmospheres at some portion of their orbit if covered with N₂ ice (as reported on Triton, Pluto, Eris, Makemake, and possibly Quaoar). A much smaller number of bodies would have global atmospheres at some portion of their orbit if covered possibly on Varuna, Orcus, Quaoar, Sedna, and 2007 OR₁₀). Thermal inertia and nonuniform volatile ice coverage can change the range of temperatures actually achieved. Temperatures and diameters are as in Fig. 6.4.

Nonglobal atmospheres will vary with location and time of day, but may still be collisional, if the column density is greater than $\sim 10^{14}$ cm⁻² for either N₂ or CH₄. Io is a classic example of a local atmosphere that is collisional around the subsolar point, and demonstrates some of the processes that are active in even these thin atmospheres. Atmospheric chemistry can occur even in these local, tenuous atmospheres (Wong and Smyth, 2000). Supersonic winds certainly flow and transport volatiles, even if they are not effective at equalizing pressures and temperatures (e.g., Walker et al., 2012). Recently, Hofgartner et al. (2018) used the Ingersoll et al. (1985) meteorological model developed for Io study the transport of N₂ on Eris at aphelion, when it is a local, collisional atmosphere, and found significant transport of N₂ ice. Even for more tenuous "surface-bounded exospheres," the loss of volatiles can modify landforms (see review by Mangold, 2011). For example, sublimation erosion may lead to the narrow divides between craters on Hyperion (Howard et al., 2012) or redeposition on the crater rims on Callisto, where the convex summits see less of the warm surface than do concave crater interiors, and are therefore local cold traps (Howard and Moore, 2008).

6.6 Detections of or limits on atmospheres by stellar occultation

The technique of stellar occultation is one of the most powerful ways to search for atmospheres around these bodies. While infrared absorption or radio emission have detected low column densities of CO and CH₄ on Triton (Lellouch et al., 2010) or CO and HCN on Pluto (Lellouch et al., 2017), these are bright targets. Stellar occultations depend on the brightness of the occulted star, and also study the target's size, shape, and the presence or nature of rings or jets (see Chapter 19; Elliot and Olkin, 1996; Elliot and Kern, 2003; Santos-Sanz et al., 2016). In an occultation, the starlight is refracted through a bending angle that increases in magnitude roughly in proportion to the line-of-sight column density (N_{los}). This leads to differential refraction, or a divergence of the refracted rays (cf. Elliot and Olkin, 1996), which dims the occulted starlight according to the scale height *H* and the column density. Some insight can be gained (Fig. 6.6) from the approximate, analytic expression for the relative stellar flux (e.g., Elliot and Young, 1992)

$$\varphi \approx \left|1 - \theta \frac{\Delta}{H}\right|^{-1} \left|1 + \theta \frac{\Delta}{r}\right|^{-1} e^{-\sigma_{\text{ext}} N_{\text{los}}}$$
(6.10)

where θ is the bending angle, given by $\theta \approx -\nu_{\text{STP}}N_{\text{los}}/n_{\text{STP}}H$, ν_{STP} is the refractivity at standard temperature and pressure (2.9 × 10⁻⁴ and 4.4 × 10⁻⁴ for N₂ and CH₄ at 0.7 µm), n_{STP} is Loschmidt's constant (2.6868 × 10¹⁹ cm⁻³), and Δ is the target-observer distance. As before, *r* is the radius (distance from target center) and *H* is the atmospheric scale height. N_{los} is the line-of-sight column density (molecule per area), which is larger than *N*, the vertical



FIG. 6.6 Detectability of TNO atmospheres by ground-based stellar occultation, under the assumption that the atmosphere is isothermal, and both atmosphere and surface are at the plotted temperature. The *blue* (CH₄) and *red* (N₂) detectability limits are for 50% or 10% drop in stellar flux for a surface-grazing ray. Near 35 K for N₂ and 50 K for CH₄, the surface-grazing ray causes a central flash (Eq. 6.10, second term), which leads to a discontinuity in the derived limits; the plotted limits are somewhat conservative near this discontinuity Temperatures and diameters are as in Fig. 6.4.

column density, by the unitless factor $\sqrt{2\pi\lambda}$ (e.g., 8–25 for $\lambda \approx 10-100$). σ_{ext} is the extinction cross-section (area per molecule). The first term represents the decrease due to the divergence (defocusing) of rays perpendicular to the limb, and halves the starlight when $\theta\Delta \approx -H$. The second term represents the increase due to refocusing parallel to the limb, leading to a "central flash" near the center of the shadow. The final term represents extinction, which becomes important when $N_{\text{los}} \approx 1/\sigma_{\text{ext}}$ (e.g., $N_{\text{los}} \approx 10^{26}$ molecule cm⁻² for Rayleigh scattering at visible wavelengths, or $N_{\text{los}} \approx 10^{17}$ molecule cm⁻² for typical cross-sections in the extreme UV). Refraction is typically dominant over extinction for Earth-based stellar occultations of TNOs, and extinction dominates for spacecraft UV occultations.

Light curves from model atmospheres can be calculated analytically for some idealized atmospheres (e.g., isothermal or $T \propto r^{\beta}$, $\beta \ll 1$; Elliot and Young, 1992). However, the presence of CH₄ or its by-products (including photochemical haze) can heat up the atmosphere by 10 s of K (Yelle and Lunine, 1989; Zhang et al., 2017). For more complex atmospheres, synthetic light curves are calculated under the assumption of geometric optics/ray tracing (Sicardy et al., 1999 and references therein) or wave optics/Fresnel diffraction (French and Gierasch, 1976). Standard model fitting can then be used to extract the geometric edge and the refractivity of the atmosphere at the surface. For high-quality data (as has been obtained for Pluto and Triton), light curves can be inverted to extract temperature, pressure, and number-density profiles (e.g., Elliot et al., 2003b). Derived surface pressures (or upper limits) from occultation light curves can be compared to the sublimation pressures for the atmospheric molecules under consideration, as expected from its surface equilibrium temperature (Fray and Schmitt, 2009).

Synthetic light curves versus shadow radius are plotted in Fig. 6.7 for an example TNO with an N₂ or CH₄dominated atmosphere (see caption for model details). Very thin atmospheres (10–100 s of nanobar, or ~10¹⁹–10²⁰ molecule cm⁻²) cause only a small drop of flux very close to the surface, requiring very high signal-to-noise ratio for detection. Denser atmospheres (a few µbar, or ~10²⁰ molecule cm⁻²) cause a gradual drop in the star flux at a significant distance from the object's surface, so they will be easier to detect. For denser atmospheres, the ray that grazes the TNO surface is refracted inward significantly toward smaller shadow radii (bent by 94–112 km for 1 µbar CH₄ or N₂ atmospheres). For the 10 µbar curve in Fig. 6.7, the surface-grazing ray is refracted past the shadow center. The bottom flux never reaches zero and much of the light curve is the sum of the near and far limb contributions. In the example plotted, the limb-grazing ray is bent by 900–1180 km for a CH₄ or N₂ atmospheres, leading to the discontinuities seen at ±400 or ±680 km for the CH₄- or the N₂-dominated atmospheres. When the star crosses the center of the object as seen from Earth, it causes a prominent central flash for the 10 µbar atmosphere, as the atmosphere acts as a lens, converging the starlight from all parts of the atmosphere to the observer.

Stellar occultations by Pluto, Charon, and Triton have been observed since the 1980s.

Pluto: A single-chord occultation from 1985 was observed under extremely difficult circumstances (Brosch, 1995). The definitive discovery of Pluto's atmosphere was from the 1988 stellar occultation (Hubbard et al., 1988; Millis et al., 1993). Observations in 2002 showed a doubling of the pressure since 1988 (Sicardy et al., 2003; Elliot et al., 2003a). Many high-quality observations since have revealed the continued changes in Pluto's atmosphere, its thermal structure, and waves (Young et al., 2008; Toigo et al., 2010; Sicardy et al., 2016; Dias-Oliveira et al., 2015; Pasachoff et al., 2017; Meza et al., 2019). The New Horizons radio and solar occultations



Object diameter = 1000 km, Surface temperature = 35 K

FIG. 6.7 Synthetic light curve models of normalized stellar flux versus shadow radius for an object with $r_0 = 500$ km, density 1.9 g cm^{-3} , $\Delta = 40$ AU, with a surface temperature of $T_0 = 35$ K and a 5 K km⁻¹ gradient to a 100 K upper atmosphere. *Continuous lines* are for an N₂-dominated atmosphere with surface pressures and column densities of $p_0 = 5$ nbar, $N_0 = 4.0 \times 10^{18}$ molecule cm⁻² (*black*); 100 nbar, 8.1×10^{19} molecule cm⁻² (*blue*); 1 µbar, 8.1×10^{20} molecule cm⁻² (*red*), and 10 µbar, 8.1×10^{21} molecule cm⁻² (*green*). *Dashed lines* are for a CH₄-dominated atmosphere with surface pressures and column densities of 0.005 µbar, 7.1×10^{18} molecule cm⁻² (*black*); 0.1 µbar, 1.4×10^{20} molecule cm⁻² (*blue*); 1 µbar, 1.4×10^{21} molecule cm⁻² (*red*); and 10 µbar, 1.4×10^{21} molecule cm⁻² (*red*); and 10 µbar, 1.4×10^{21} molecule cm⁻² (*red*); and 10 µbar, 1.4×10^{21} molecule cm⁻² (*red*); and 10 µbar, 1.4×10^{21} molecule cm⁻² (*red*); and 10 µbar, 1.4×10^{21} molecule cm⁻² (*red*); and 10 µbar, 1.4×10^{21} molecule cm⁻² (*red*); and 10 µbar, 1.4×10^{22} molecule cm⁻² (*blue*); 1 µbar, 1.4×10^{21} molecule cm⁻² (*red*); and 10 µbar, 1.4×10^{22} molecule cm⁻² (*sreen*).

revealed N₂ in vapor-pressure equilibrium with the N₂-rich ice, an overabundance of gaseous CH_4 possibly explained by CH_4 -rich patches, hazes, and photochemical products, a cold upper atmosphere, and an atmospheric escape rate that was dominated by CH_4 and was much smaller than expected (see Chapter 12; Gladstone and Young, 2019).

Triton: Triton, like Pluto, was visited by a spacecraft, and has had several high-quality occultations, notably the November 4, 1997 Triton occultation observed from HST (Elliot et al., 1998). Occultations in the 1990s show a doubling of surface pressure on decadal timescales compared to the 1989 flyby by Voyager 2 (Elliot et al., 1998, 2000a,b; Young et al., 2002). An occultation from 2017 suggests that the increase has peaked (Oliveira et al., 2018; Person et al., 2018).

Charon: Prior to the New Horizons flyby, limits on Charon's atmosphere were set to be <50 nbar (1 σ) from stellar occultation (Gulbis et al., 2006), and the New Horizons UV occultation set limits of <1.4 pbar (Stern et al., 2017).

Since 2009, stellar occultations have been successfully used to study other TNOs, and the search for an atmospheric signature was carried for a few of them. Most of the largest bodies have already observed during a stellar occultation event and no atmosphere around a TNO has been found so far (other than Pluto and ex-TNO Triton). Upper limits on the presence of putative atmospheres were obtained and they are summarized in Table 6.2.

Name	Equivalent radius (km)	Surface pressure	References
Triton	1350	3–11 µbar	Elliot et al. (2000b)
Pluto	1188	4–12.7 µbar	Sicardy et al. (2016)
Eris	1163	<1 nbar ^a	Sicardy et al. (2011)
Haumea	816	<10 nbar ^a	Ortiz et al. (2017)
Makemake	715	<12 nbar ^a	Ortiz et al. (2012)
Charon	606	<1.4 nbar ^a	Stern et al. (2017)
Quaoar	555	<21 nbar ^a	Braga-Ribas et al. (2013)
Sedna	445	Inconclusive	Braga-Ribas et al. (2014b)

TABLE 6.2 Results on atmospheres from stellar occultations.

^a1 – σ upper limits.

Eris: A stellar occultation of a V = 17.1 star by Eris was observed on November 6, 2010 (Sicardy et al., 2011). Eris, the second-biggest TNO after Pluto, was at 95.7 AU and had a surface temperature estimated at 30 K. With methane and nitrogen detected on its surface, it was a good candidate to have retained volatiles. The occultation allowed a constraint on the presence of an isothermal N₂ atmosphere to an upper limit of 1 nbar at the surface, with similar limits for CH₄ and argon. The occultation revealed that Eris is one of the brightest objects of the solar system, with a geometric albedo of $p_v = 0.96$ (+0.09/-0.04), which may be caused by a collapsed atmosphere, as discussed earlier. As the subsolar temperature of Eris can reach 35 K, the authors mentioned that a local subsolar atmosphere of N₂ may exist, but it would freeze to undetectable values at the limb. It is suggested that, due to the eccentric orbit of Eris, when it approaches its perihelion at 37.8 AU, it may develop a global atmosphere of 2 µbar.

Haumea: An observation of a stellar occultation by Haumea on February 21, 2017 revealed that it also possesses a dense ring of 70 km in width (Ortiz et al., 2017), making it the second small solar system object, after (10199) Chariklo (Braga-Ribas et al., 2014a), known to have a ring. Upper limits (1 σ) were obtained for the atmosphere of $p_0 < 10$ nbar (CH₄) or $p_0 < 3$ nbar (N₂). This is consistent with the lack of spectral evidence of CH₄ or N₂ on Haumea's surface. Haumea's ring, two moons, and the Haumea dynamical family suggest that volatiles were lost via a disruptive collision (e.g., Schaller and Brown, 2007b).

Makemake: A Makemake occultation of a V = 18.5 star on April 23, 2011 was detected from seven telescopes in Chile (Ortiz et al., 2012). The preferred solution for the projected shape is an ellipsoid with axes of 1430 ± 9 and 1502 ± 45 km. Constraining the shape to a circular crosssection gives a radius of 1430 ± 9 km, and is also consistent with the observations. The preferred (elliptical) solution gives a geometric albedo at *V* of $p_V = 0.77 \pm 0.03$. This is a bright albedo, and is consistent with surface freshening by a periodic atmosphere. No global atmosphere was detected, with limits on a global atmosphere of <12 nbar (1σ). This is somewhat surprising, as Makemake was a candidate for a global atmosphere, especially given the report of N₂ ice from CH₄ band shifts. The conclusion is that Makemake has lost almost all of its N₂ gas, or that the atmosphere near the limb has frozen to undetectable values (e.g., for a pole-on orientation or a slow rotation/low thermal inertia). Ortiz et al. (2012) also modeled the effect of a local atmosphere on the occultation light curves, motivated by some points close to centrality with an elevated flux a few σ above the noise, and placed loose limits on a local atmosphere of $p_0 < 30 \,\mu$ bar.

Quaoar: A large TNO ($r_0 = 550 \text{ km}$) with CH₄ reported on its surface, Quaoar was a candidate to possess a thin atmosphere. It was observed crossing in front of a star on May 4, 2011, from which upper limits on the presence of an atmosphere were derived (Braga-Ribas et al., 2013). Being at a distance of 42.4 AU, with a geometric albedo of 0.109, a surface equilibrium temperature of 40 K is expected. Considering a CH₄ dominant atmosphere, reaching 102 K above 10 km from the surface, an upper limit of $p_0 < 21$ nbar (1 σ) was obtained. As N₂ would have a vapor pressure of 66–176 µbar at 40–42 K, the data rule out this scenario.

Smaller TNOS: Additional TNOs have been observed during stellar occultations, and no clear signals of any atmosphere were detected. For these events, there are no published upper limits, because the decrease in stellar flux was abrupt (rather than dimming by atmospheric refraction), and the data did not have high enough quality (cadence or signal-to-noise ratio) for any constraints on a thin atmosphere. The larger bodies (equivalent radii $r_0 > 200 \text{ km}$) so probed include Varuna ($r_0 = 568 \text{ km}$; Sicardy et al., 2010), 2003 VS₂ ($r_0 = 274 \text{ km}$; Benedetti-Rossi et al., 2019), 2002 TC₃₀₂ ($r_0 = 499 \text{ km}$; Santos-Sanz, 2017), 2003 AZ₈₄ ($r_0 = 382 \text{ km}$; Dias-Oliveira et al., 2017), and 2007 UK₁₂₆ ($r_0 = 319 \text{ km}$; Benedetti-Rossi et al., 2016).

6.7 Future research

The earlier discussion suggests some critical directions for future research.

Surface compositions:

- The spectra of Pluto and Triton change on the timescale of decades, and are related to both changing viewing geometry and possibly real changes in the volatile distribution or physical state of volatile ices (e.g., grain size). The changing compositions of Triton (visual magnitude V = 13.4) and Pluto (V = 14.2) can and should continue to be tracked with moderate ground-based telescopes, to decouple these effects.
- For other large TNOs, new understanding of the surface stratification of CH_4 and N_2 on Eris (V = 18.7) needs higher spectral resolution and SNR than previous spectra, as does the confirmation of or constraints on CH_4 on Quaoar (V = 18.7), 2007 OR_{10} (V = 21.3), Sedna (V = 20.8), Varuna (V = 20.1), and Orcus (V = 19.2). Some progress is likely to come from the new generation of infrared detectors (e.g., NIRES on Keck, or NIHTS on DCT). JWST's spectral sensitivity from 1 to 5 μ m will be very powerful for measuring the volatiles and other species on the surfaces of these and other TNOs (Parker et al., 2016; see Chapters 5, 12, 18, and 19). Both the Thirty Meter Telescope and the European Extremely Large Telescope have planned near-infrared integral field spectrometers as first light instruments, and their various white papers emphasize how they will revolutionize TNO spectroscopy.

Laboratory work:

• The vapor pressures of CO and CH₄ should be measured at TNO temperatures of 20–40 K, and the vapor pressure of N₂ should be remeasured in a modern lab. Also, recent work on

the vapor pressures of mixed volatile ices (Trafton, 2015; Tan and Kargel, 2018) should be tested under laboratory conditions.

• Measurements of the spectrum of CH_4 ice in the visible, both pure and in solution with N_2 , are needed for quantitative analysis of Makemake's CH_4 features 0.54–0.62 μ m.

Atmospheric retention and escape:

- The most critical work is a better model of why the escape rate at Pluto in 2015 $(\sim 5 \times 10^{22} N_2 s^{-1} \text{ and } \sim 6 \times 10^{25} \text{ CH}_4 s^{-1})$ is so much lower than the energy-limited rate expected preencounter ($\sim 2 \times 10^{27} N_2 s^{-1}$), and how that model can be applied to Pluto at other seasons, and to other TNOs.
- Current DSMC work on volatile retention only models a single species, but the observed mixed surfaces with both N₂ and CH₄ suggest new DSMC work with multiple species. Similarly, local atmospheres (e.g., dome-like atmospheres over the subsolar point) can be more completely modeled.

Seasonal transport:

• Models such as Hofgartner et al. (2018) can be used to investigate the transition between global and collisional atmospheres.

Atmospheric searches:

• Higher SNR occultations with higher cadence can help the sensitivity, and can help break ambiguity in the cases of suspected local atmospheres. Accurate star positions are now given by the Gaia catalog, but ephemeris errors are nearly always much greater than the object's apparent angular size. Astrometric positions are needed for the targeted TNOs. One source of positions can be the big and deep surveys like the Large Synoptic Sky Survey that is expected to begin observations in 2022, and will help to update TNOs ephemerides and so improve stellar occultation predictions (Camargo et al., 2018).

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148

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