

## Orbit and bulk density of the OSIRIS-REx target Asteroid (101955) Bennu



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### ABSTRACT

The target asteroid of the OSIRIS-REx asteroid sample return mission, (101955) Bennu (formerly 1999 RQ<sub>36</sub>), is a half-kilometer near-Earth asteroid with an extraordinarily well constrained orbit. An extensive data set of optical astrometry from 1999 to 2013 and high-quality radar delay measurements to Bennu in 1999, 2005, and 2011 reveal the action of the Yarkovsky effect, with a mean semimajor axis drift rate  $da/dt = (-19.0 \pm 0.1) \times 10^{-4}$  au/Myr or  $284 \pm 1.5$  m/year. The accuracy of this result depends critically on the fidelity of the observational and dynamical model. As an example, neglecting the relativistic perturbations of the Earth during close approaches affects the orbit with  $3\sigma$  significance in  $da/dt$ .

The orbital deviations from purely gravitational dynamics allow us to deduce the acceleration of the Yarkovsky effect, while the known physical characterization of Bennu allows us to independently model the force due to thermal emissions. The combination of these two analyses yields a bulk density of  $\rho = 1260 \pm 70$  kg/m<sup>3</sup>, which indicates a macroporosity in the range  $40 \pm 10\%$  for the bulk densities of likely analog meteorites, suggesting a rubble-pile internal structure. The associated mass estimate is  $(7.8 \pm 0.9) \times 10^{10}$  kg and  $GM = 5.2 \pm 0.6$  m<sup>3</sup>/s<sup>2</sup>.

Bennu's Earth close approaches are deterministic over the interval 1654–2135, beyond which the predictions are statistical in nature. In particular, the 2135 close approach is likely within the lunar distance and leads to strong scattering and numerous potential impacts in subsequent years, from 2175 to 2196. The highest individual impact probability is  $9.5 \times 10^{-5}$  in 2196, and the cumulative impact probability is  $3.7 \times 10^{-4}$ , leading to a cumulative Palermo Scale of  $-1.70$ .

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## 1. Introduction

The Apollo Asteroid (101955) Bennu, a half-kilometer near-Earth asteroid previously designated 1999 RQ<sub>36</sub>, is the target of the OSIRIS-REx sample return mission. A prime objective of the mission is to measure the Yarkovsky effect on this asteroid and constrain the properties that contribute to this effect. This

objective is satisfied both by direct measurement of the acceleration imparted by anisotropic emission of thermal radiation, the first results of which are reported here, and by constructing a global thermophysical model of the asteroid to confirm the underlying principles that give rise to this effect.

Bennu was discovered by the LINEAR asteroid survey in September 1999. Since then, more than 500 optical observations have been obtained for this Potentially Hazardous Asteroid (PHA). Moreover, the asteroid was observed using radar by the Arecibo and Goldstone radio telescopes during three different

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apparitions. Thanks to this rich observational data set, Bennu has one of the most precise orbits in the catalog of known near-Earth asteroids. The exceptional precision of the Bennu orbit allows one to push the horizon for predicting possible Earth impacts beyond the 100 years typically used for impact monitoring (Milani et al., 2005), and indeed Milani et al. (2009) showed that Earth impacts for Bennu are possible in the second half of the next century. In particular, the cumulative impact probability they found was approximately  $10^{-3}$ , about half of which was associated with a possible impact in 2182. However, the occurrence of an impact depends decisively on the Yarkovsky effect because the prediction uncertainty due to this nongravitational perturbations dominates over the orbital uncertainty associated with astrometric errors.

The Yarkovsky effect is a subtle nongravitational perturbation that primarily acts as a secular variation in semimajor axis and thus causes a runoff in orbital anomaly that accumulates quadratically with time (Bottke et al., 2006). The computation of the Yarkovsky perturbation requires a rather complete physical model of the asteroid, including size, shape, density, spin rate and orientation, thermal properties, and even surface roughness (Rozitis and Green, 2012). Though such a complete profile is rarely available, the orbital drift due to the Yarkovsky effect can sometimes be determined from an asteroid observational data set. For example, Chesley et al. (2003) managed to directly estimate the Yarkovsky effect for Asteroid (6489) Golevka by using three radar apparitions. Vokrouhlický et al. (2008) employ the Yarkovsky effect to match precovery observations of Asteroid (152563) 1992 BF that are incompatible with purely gravitational dynamics. More recently Nugent et al. (2012) and Farnocchia et al. (2013b) have estimated the Yarkovsky effect for a few tens of near-Earth asteroids by using a formulation that depends on a single parameter to be determined from the orbital fit.

Besides Bennu, there are two other asteroids for which possible impacts are known to be driven by the Yarkovsky effect: (29075) 1950 DA (Giorgini et al., 2002) and (99942) Apophis (Chesley, 2006; Giorgini et al., 2008). The relevance of the Yarkovsky effect for Apophis is due to a scattering close approach in 2029 with minimum geocentric distance  $\sim 38,000$  km. For 1950 DA the influence of the Yarkovsky effect for an impact in 2880 is due to the long time interval preceding the potential impact. However, no estimate of the Yarkovsky perturbation acting on these two asteroids is currently available. To analyze such cases one can use the available physical constraints for the specific objects, along with general properties of near-Earth asteroids (e.g., albedo, thermal inertia, bulk density, etc.) to statistically model the Yarkovsky effect. The orbital predictions and the impact hazard assessment are then performed by a Monte Carlo simulation that accounts for both the Yarkovsky effect distribution and the orbital uncertainty (Farnocchia et al., 2013a; Farnocchia and Chesley, 2014). For Bennu, no such heroics are required. As we shall see, we now have a precise estimate of the orbital deviations caused by the Yarkovsky effect, as well as a comprehensive physical model distilled from numerous investigations.

While the Yarkovsky effect requires a priori knowledge of several physical parameters to be computed directly, its detection through orbital deviations can be used to constrain the otherwise unknown physical parameters. When the spin state is unknown, one can derive weak constraints on obliquity, as was first shown by Vokrouhlický et al. (2008) for 1992 BF. In cases where the spin state is well characterized, usually through the combination of radar imaging and photometric light curves, the bulk density of the object is correlated with the thermal properties and mutual constraints can be inferred, as was the case for Golevka (Chesley et al., 2003). Rozitis et al. (2013) were able to jointly model the measured Yarkovsky and YORP effects on (1862) Apollo, and thereby constrain a number of the body's physical characteristics,

including axis ratios, size, albedo, thermal inertia and bulk density. In the case of Bennu, the thermal inertia is known from infrared observations (Emery et al., 2014; Müller et al., 2012), and so we are able to directly estimate the mass and bulk density.

## 2. Observational data and treatment

### 2.1. Optical astrometry

We use the 569 RA-DEC astrometric measurements available from the Minor Planet Center from 1999–September–11.4 to 2013–January–20.1. We apply the star catalog debiasing algorithm introduced by Chesley et al. (2010), and data weights are generally based on the astrometric weighting scheme proposed in Section 6.1 of that paper. In some cases there is an excess of observations from a single observatory in a single night. In such cases we relax the weights by a factor of about  $\sqrt{N/5}$ , where  $N$  is the number of observations in the night. This reduces the effect of the particular data set to a level more consistent with the typical and preferred contribution of 3–5 observations per night.

Considerable care was taken in identifying outlier observations to be deleted as discordant with the bulk of the observations. From among the 569 available observations from 43 stations, we reject 91 as outliers, leaving 478 positions from 34 stations in the fits. Fig. 1 depicts the postfit plane of sky residuals, highlighting the deleted data. There are an additional 14 observations, all deleted, that are not depicted in Fig. 1 because they fall beyond the limits of the plot. The manual rejection approach often deletes an entire batch of data if it appears biased in the mean, thus some of the deleted points in Fig. 1 do not show significant residuals. On the other hand, some observations are de-weighted relative to the others, and in some cases these are not deleted, despite the raw residuals being larger than some rejected observations. In Section 3.4 we discuss the dependency of the ephemeris prediction on the outlier rejection approach.

### 2.2. Radar astrometry

The time delay and Doppler shift of radar echoes from Bennu were measured in 1999, 2005 and 2011. Radar astrometry was obtained at both Arecibo and Goldstone as detailed in Table 1. The delay observations in the table correspond to the round-trip

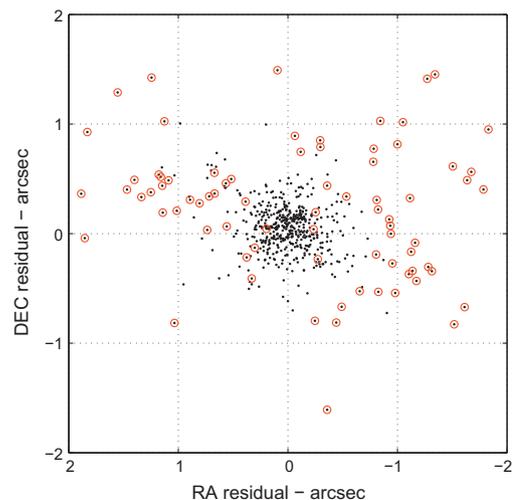


Fig. 1. Depiction of Bennu postfit residuals for JPL solution 87. Deleted observations are depicted with circles around the points. In addition to the observations shown here, there are 14 deleted observations outside the plot boundaries.

**Table 1**  
Radar Astrometry for (101955) Benu.

Date and time (UTC – receive)	Measurement ( $\mu\text{s}$ or Hz)	Uncertainty ( $\mu\text{s}$ or Hz)	Station
<i>Delay</i>			
2011-09-29 11:55:00	202378520.04	2.0	Arecibo
2011-09-28 11:08:00	199711477.27	2.0	Arecibo
2011-09-27 11:39:00	197293588.79	2.0	Arecibo
2005-10-02 14:10:00	57762582.67	0.5	Arecibo
2005-10-02 12:55:00	57594268.2	0.5	Arecibo
2005-09-28 13:35:00	45734943.4	0.5	Arecibo
2005-09-28 11:57:00	45550976.4	0.5	Arecibo
2005-09-20 11:24:00	33024222.68	0.5	Arecibo
2005-09-20 09:09:00	33024251.3	0.5	Arecibo
2005-09-19 12:50:00	33215505.86	1.0	Goldstone
2005-09-18 12:20:00	33873463	1.0	Goldstone
2005-09-16 09:27:00	36609699.09	0.5	Arecibo
2005-09-16 08:45:00	36658796.03	0.5	Arecibo
1999-10-01 13:40:00	35441297	1.0	Goldstone
1999-09-25 12:55:00	17785960.83	1.0	Arecibo
1999-09-25 11:09:00	17634668.28	1.0	Arecibo
1999-09-24 12:23:00	15955075.55	1.0	Arecibo
1999-09-24 10:26:00	15838961.63	1.0	Arecibo
1999-09-23 11:28:00	14846130.16	1.0	Arecibo
1999-09-23 09:36:00	14800106.19	1.0	Arecibo
1999-09-23 09:30:00	14820631.	5.0	Goldstone
1999-09-21 10:20:00	15418454	10.0	Goldstone
<i>Doppler</i>			
2011-09-29 11:55:00	-72841.0156	1.0	Arecibo
2011-09-28 11:08:00	-68554.7858	1.0	Arecibo
2011-09-27 11:39:00	-66400.2088	1.0	Arecibo
2005-09-28 12:00:00	-73137.0697	1.0	Arecibo
2005-09-20 09:06:00	2631.7168	1.0	Arecibo
2005-09-16 08:44:00	47170.7359	1.0	Arecibo
1999-09-21 09:00:00	135959	5.0	Goldstone

*Notes:*

- Transmit frequency is 2.38 GHz at Arecibo and 8.56 GHz at goldstone.
- All measurements are referenced to the body center of mass.
- Measurements are also available online at <http://ssd.jpl.nasa.gov/?radar>.

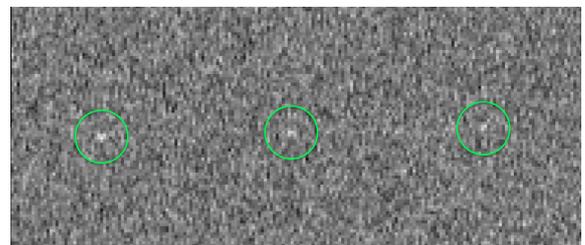
light travel time from the nominal telescope position to the center of mass of the object, and thus they are often referred to as range measurements. Doppler measurements in the table reflect the frequency shift between the transmit and receive signals due to the line-of-sight velocity of the object. The use of radar delay and Doppler measurements in asteroid orbit determination was introduced by Yeomans et al. (1992).

Delay uncertainties arise from the finite resolution of the imaging of  $0.05\text{--}0.125 \mu\text{s}/\text{px}$  (Nolan et al., 2013), uncertainty in the shape modeling (to determine the center of mass from the observed echo power) of  $10\text{--}20 \text{ m}$ , equivalent to about  $0.1 \mu\text{s}$ , and systematic calibration, including uncertainties in the position of the telescope and light travel within the telescope optics. Because we have a shape model of Benu that directly relates the individual range observations to the center of figure of the model (Nolan et al., 2013), the systematic uncertainties dominate the range uncertainties in the 1999 and 2005 Arecibo observations, and so these uncertainties are assigned conservative values of 1.0 and  $0.5 \mu\text{s}$  (respectively). In 2011, Benu was much farther away than the previous observations, and the uncertainty of  $2 \mu\text{s}$  is from the pixel scale of the observations. Doppler uncertainties are taken to be 1 Hz at 2380 MHz, about  $1/4$  of the total rotational Doppler width of the object, and are based on the uncertainty of estimating the position of the center of mass of the spectra.

The 2011 observations (Fig. 2 and Table 2) were of too low resolution and SNR to be useful for shape modeling and were obtained solely for improving our knowledge of the orbit of Benu. The  $2\text{-}\mu\text{s}$  (300 m) resolution was chosen to be the finest resolution that would maximize the SNR of the observations by including all of the echo power from the 250-m radius asteroid in one or two range

bins. The asteroid was visible with a  $\text{SNR} > 3$  on each of the three observing dates at consistent delay and Doppler offsets from the a priori ephemeris used in the data taking.

The 2011 radar observations of Benu, which enabled the results of the present paper, almost never happened. The two-million-Watt, 65,000-Volt “power brick” that supplies the electricity for the Arecibo Planetary Radar system failed in late 2010, and was finally repaired on September 15, 2011. Because of the critical schedule for Benu observations, in a space of seven days the 16-ton unit was trucked 800 miles from Pennsylvania to Florida, shipped to Puerto Rico, trucked again, and lifted into place with a crane. The system was reconnected and recommissioned in four days, after nearly a year of down-time, just in time to perform the observations on the last possible dates of September 27–29, and just as the prime contractor managing the Arecibo Observatory was changing (on October 1), after 45 years of operation by Cornell



**Fig. 2.** Arecibo delay-Doppler detections of Benu from 2011-September-27, 28, 29. Doppler frequency increases to the right and delay increases upwards. Image pixel spacing is  $1 \text{ Hz} \times 1 \mu\text{s}$ .

**Table 2**  
Radar observations of Bennu from 2011. Each line gives the UTC date and start/stop times, the number of transmit–receive cycles (runs), and the direction and distance to Bennu at the mid-epoch on each date. All observations used a 2  $\mu$ s baud (corresponding to a range resolution of 300 m), an 8191-length code, and JPL/horizons orbital solution 70.

UTC date	Start time	Stop time	Runs	RA ( $^{\circ}$ )	DEC ( $^{\circ}$ )	Distance (au)
2011-September-27	10:30:36	12:53:45	21	114.3	+28.3	0.1977
2011-September-28	10:34:40	12:56:31	10	116.2	+28.5	0.2002
2011-September-29	10:38:31	13:02:29	18	118.1	+28.6	0.2028

University, so that most observatory operations were frozen for the transition.

### 3. Orbit determination and dynamical model

We have updated the orbit determination for Bennu based on the observational data set described above. These orbital position measurements place extraordinary constraints on the orbit determination, and thus we must pay careful attention to the fidelity of force models, observation models and numerical integration. Our dynamical model includes direct solar radiation pressure and the thermal re-emission of absorbed solar radiation (i.e., the Yarkovsky effect). Besides the gravitational acceleration of the Sun, we include Newtonian perturbations by the eight planets, the Moon, Pluto and 25 selected main belt asteroids. We consider the oblateness term of the Earth's geopotential and full relativistic perturbations from the Sun, eight planets and the Moon.

As shown by Giorgini et al. (2002), who studied the potential impact of 29075 (1950 DA) in the year 2880, other potential dynamical perturbations, such as galactic tide, solar mass loss and solar oblateness, are too slight to affect our results. This is because these small effects, which were not important for 1950 DA, will be even less significant for Bennu due to the much shorter time interval.

#### 3.1. Yarkovsky effect

The Yarkovsky effect is a key consideration when fitting an orbit for Bennu (Milani et al., 2009). This slight nongravitational acceleration arises from the anisotropic re-emission at thermal wavelengths of absorbed solar radiation (Bottke et al., 2006). The component of the thermal recoil acceleration in the transverse direction acts to steadily increase or decrease the orbital energy, leading to a drift in semimajor axis  $da/dt$  that accumulates quadratically with time in the orbital longitude of the asteroid. For a uniform, spherical asteroid on a known orbit, the drift rate depends on the physical characteristics of the asteroid according to

$$\frac{da}{dt} \propto \frac{\cos \gamma}{\rho D},$$

where  $\gamma$  is the obliquity of the asteroid equator with respect to its orbital plane,  $\rho$  is the bulk density of the asteroid, and  $D$  is the effective diameter. Additionally,  $da/dt$  depends in a nonlinear and often nonintuitive way on the asteroid rotation period  $P$  and the surface material properties, namely thermal inertia  $I$ , infrared emissivity  $\epsilon$  and Bond albedo  $A$  (Vokrouhlický et al., 2000).

We have three models available to us for computing thermal accelerations on Bennu. The first, and most straightforward, is to simply apply a transverse acceleration of the form  $A_T \times (r/1 \text{ au})^{-d}$ , where  $A_T$  is an estimable parameter,  $r$  is the heliocentric distance and the exponent is typically assumed as  $d = 2$  to match the level of absorbed solar radiation. Given an estimated value of  $A_T$  and the assumed value of  $d$ , one can readily derive the time-averaged  $da/dt$  using Gauss' planetary equations (Farnocchia et al., 2013b). This approach, which we term the *transverse model*, is computationally fast and captures the salient

aspects of the thermal recoil acceleration. Importantly, it requires no information about the physical characteristics or spin state of the asteroid, and so it can be implemented readily in cases where only astrometric information is available (e.g., Vokrouhlický et al., 2008; Chesley et al., 2008; Nugent et al., 2012; Farnocchia et al., 2013b).

For Bennu we find numerically that the exponent  $d = 2.25$  provides the best match to the transverse thermal acceleration derived from the thermal re-emission models described below. This result can also be computed analytically using a simplified model with the technique described in Appendix A. Using the transverse model with  $d = 2.25$  we derive JPL solution 87 (Table 3), which serves as a reference solution as we investigate the effect of various model variations on the orbit.

JPL solution 87 yields a Yarkovsky drift estimate that compares well with the corresponding result from Milani et al. (2009), who used observations only through mid-2006 and found  $da/dt = (-15 \pm 9.5) \times 10^{-4}$  au/Myr, which was judged to be a weak detection of the nongravitational acceleration. Using the same fit span (1999–2006) from the current data set we now find  $da/dt = (-22.9 \pm 5.3) \times 10^{-4}$  au/Myr. The change in the estimate relative to that of Milani et al. (2009) is due in large part to the use of star catalog debiasing (Chesley et al., 2010), while the improved precision is due to the higher accuracy and quantity of radar delay measurements obtained through re-measurement of the 1999 and 2005 Arecibo observations, as well through as the

**Table 3**  
JPL orbit solutions 85 and 87 for Bennu, ecliptic J2000 frame.

<i>Solution 85 (nonlinear Yarkovsky model)</i>	
Epoch	2011-January-1.0 TDB
Semimajor axis ( $a$ )	1.126391025996(42) au
Eccentricity ( $e$ )	0.203745112(21)
Perihelion dist. ( $q$ )	0.896894360(24) au
Perihelion time ( $t_p$ )	2010-August-30.6419463(30) TDB
Long. of asc. node ( $\Omega$ )	2.0608668(37) $^{\circ}$
Arg. of perihelion ( $\omega$ )	66.2230699(55) $^{\circ}$
Inclination ( $i$ )	6.0349391(27) $^{\circ}$
Bulk density ( $\rho$ )	1181.1(6.3) kg/m $^3$ <sup>†</sup>
$\chi^2$ <sup>‡</sup>	68.37
<i>Solution 87 (transverse Yarkovsky model, <math>d = 2.25</math>)</i>	
Epoch	2011-January-1.0 TDB
Semimajor axis ( $a$ )	1.126391026404(40) au
Eccentricity ( $e$ )	0.203745114(21)
Perihelion dist. ( $q$ )	0.896894358(24) au
Perihelion time ( $t_p$ )	2010-August-30.6419468(30) TDB
Long. of asc. node ( $\Omega$ )	2.0608670(37) $^{\circ}$
Arg. of perihelion ( $\omega$ )	66.2230705(55) $^{\circ}$
Inclination ( $i$ )	6.0349388(27) $^{\circ}$
Transverse accel. ( $A_T$ )	$-4.618(24) \times 10^{-14}$ au/d $^2$
$\chi^2$ <sup>‡</sup>	68.73

Notes: Numbers in parentheses indicate the  $1\sigma$  formal uncertainties of the corresponding (last two) digits in the parameter value.

<sup>‡</sup>  $\chi^2$  denotes the sum of squares of normalized postfit residuals.

<sup>†</sup> The bulk density uncertainty is marginal only with respect to the orbital elements, and is conditional with respect to the physical parameters that can affect the thermal modeling. In particular, the uncertainties in effective diameter, thermal inertia and obliquity are not captured here, and these lead to a marginal uncertainty an order of magnitude greater. See Fig. 9 and the discussion in Section 4.

use of tighter weights on the optical data proposed by Chesley et al. (2010). Incorporating the subsequent optical observations through 2013 leads to  $da/dt = (-21.3 \pm 4.6) \times 10^{-4}$  au/Myr. Finally, adding the 2011 Arecibo radar astrometry reduces the uncertainty by nearly a factor 50, leading to the current best estimate  $da/dt = (-19.0 \pm 0.1) \times 10^{-4}$  au/Myr. We note that the new formal uncertainty on  $da/dt$  is 0.5%, by far the most precise Yarkovsky estimate available to date. As well, the uncertainty on the semimajor axis  $a$  is 6 m, the lowest value currently found in the asteroid catalog. This low uncertainty is primarily a reflection of the current precision of the orbital period (2 ms) rather than an indication of the uncertainty in the predicted asteroid position, which is at the level of a few kilometers during the fit span.

Both our second (linear) and third (nonlinear) Yarkovsky acceleration models employ heat transfer models of different levels of fidelity in order to predict the surface temperature and associated re-emission of thermal energy. The *linear model* utilizes linearized heat transfer equations on a rotating homogeneous sphere, closely following the development given by Vokrouhlický et al. (2000) for both the diurnal and seasonal components of the Yarkovsky effect. The linear model requires knowledge of the spin orientation and rate, asteroid diameter and thermal inertia, but does not allow for shape effects such as self-shadowing and self-heating, which are generally considered minor. The linear model assumes a sphere, and so oblateness effects are not captured. This is relevant because the cross-sectional area receiving solar radiation is increased for an equal volume sphere relative to that of an oblate body, and thus the force derived with the linear model is enhanced relative to the nonlinear model. This in turn leads to an increased estimate of the bulk density as we shall see later.

The *nonlinear model* is the highest fidelity Yarkovsky force model that we apply to the orbit determination problem. This approach solves the nonlinear heat transfer equation on a finite-element mesh of plates or facets that models the Nolan et al. (2013) asteroid shape. The approach is described in more detail by Čapek et al.

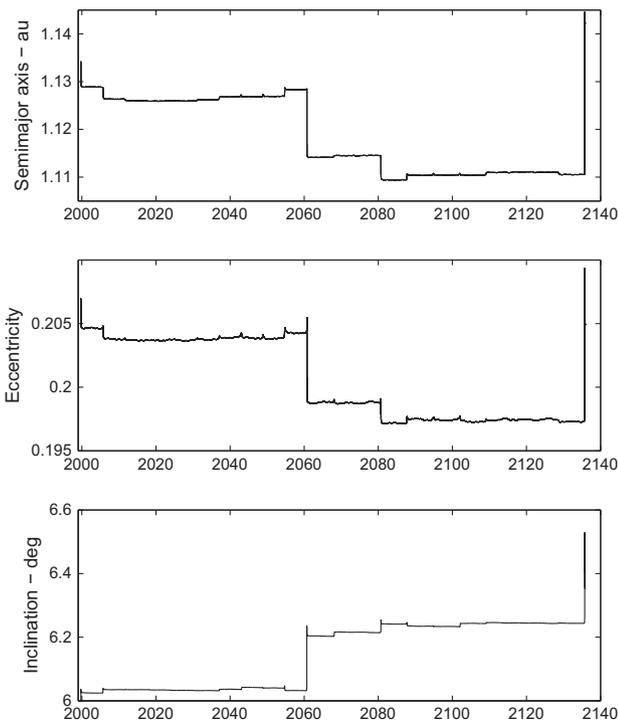
(2005), but we summarize it here. For each facet on the asteroid shape model, the nonlinear heat transfer problem is solved while the asteroid rotates with a constant spin rate and orientation and revolves along a frozen, two-body heliocentric orbit. A uniform temperature distribution is assumed at start-up and the temperature and energy balance between absorbed, conducted and re-radiated radiation for each facet is solved as a function of time. The heat transfer problem is treated as one-dimensional, i.e., the temperature for a given facet depends only on the depth below the facet. There is no conduction across or between facets. After several orbital revolutions the temperature profile from revolution to revolution converges for each plate. Following convergence, diurnal averaging of the vector sum of the thermal emission over the body yields the force of thermal emission as a function of orbital anomaly. Given the shape model volume and an assumed bulk density, the mass can be computed and from this the thermal recoil acceleration. This ultimately leads to a lookup table of acceleration as a function of true anomaly that is interpolated during the high-fidelity orbital propagation.

The nonlinear model was previously used with Asteroid (6489) Golevka (Chesley et al., 2003), but at that time the acceleration table was for a frozen orbit, which turns out to be an unacceptable approximation for Bennu. Fig. 3 shows the orbital element variations into the future due to planetary perturbations and Fig. 4 reveals the associated variation in the average  $da/dt$ , which is clearly significant relative to the  $0.10 \times 10^{-4}$  au/Myr uncertainty. As a result of this analysis we have implemented an enhancement to the nonlinear model that corrects the tabulated accelerations for variations in orbital elements. The approach is to compute the Yarkovsky force vector from a linearized expansion about a central, reference orbit according to

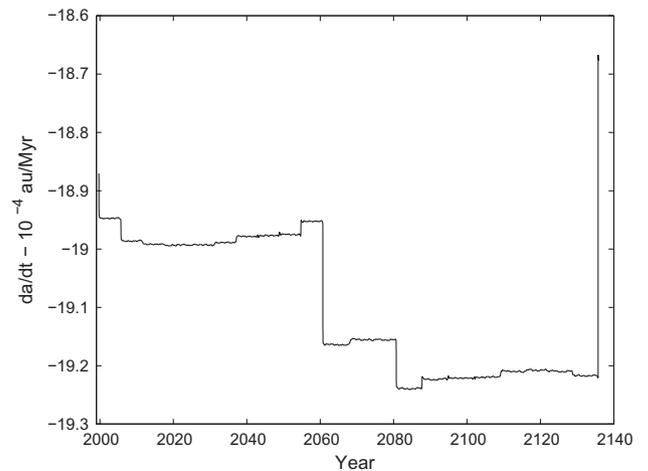
$$\vec{F}_Y(a, e; f_i) = \vec{F}_Y(a_0, e_0; f_i) + \frac{\partial \vec{F}_Y(a_0, e_0; f_i)}{\partial a} (a - a_0) + \frac{\partial \vec{F}_Y(a_0, e_0; f_i)}{\partial e} (e - e_0).$$

Here  $\vec{F}_Y$  is the thermal acceleration in the orbit plane frame so that variations of the Keplerian Euler angle orbital elements ( $\omega$ ,  $\Omega$ ,  $i$ ) do not affect the computation;  $\vec{F}_Y$  is rotated to the inertial frame during the propagation. The  $f_i$  are the true anomaly values in the tabulation,  $a_0$  and  $e_0$  are the values for the reference orbit. The partial derivatives are also tabulated after they are derived by finite differences based on a series of pre-computed lookup tables for varying orbits  $\vec{F}_Y(a_0 \pm \delta a, e_0 \pm \delta e; f_i)$ .

When computing an orbit with the linear or nonlinear model we use the physical parameters listed in Table 4, and for the



**Fig. 3.** Time history of the osculating Benu orbital elements,  $a$ ,  $e$  and  $i$ , through 2136. The effects of Earth encounters are evident and can be cross-referenced with Table 8.



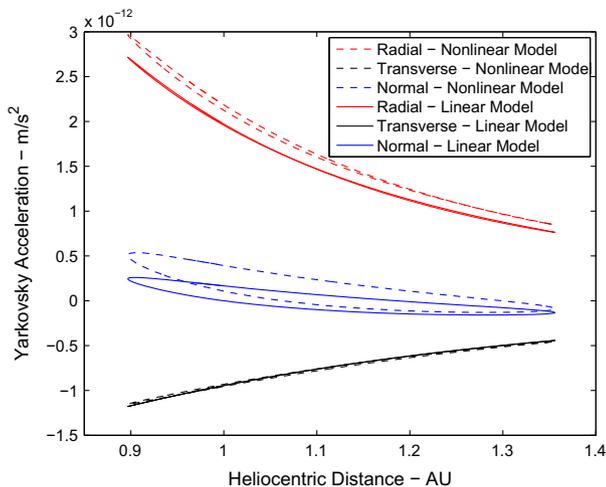
**Fig. 4.** Time history of the Benu semimajor axis drift  $da/dt$  through 2136. The variations arise from orbital changes induced by Earth encounters as depicted in Fig. 3.

**Table 4**  
Physical characteristics of (101955) Bennu and associated marginal uncertainty in estimate of bulk density  $\rho$ . Tabulated error bars represent assumed  $1\sigma$  uncertainties.

Parameter	Value and uncertainty	Ref.	$\rho$ Uncertainty (%)
Thermal inertia	$\Gamma = 310 \pm 70 \text{ J m}^{-1} \text{ s}^{-0.5} \text{ K}^{-1}$	a	+2.1/–4.1
Cross-sectional Area/Volume	$A/V = 3.06 \pm 0.06 \times 10^{-3} \text{ m}^{-1}$	b	$\pm 2.0$
Obliquity of equator	$\gamma = 175^\circ \pm 4^\circ$	b	+0.4/–0.9
Surface emissivity	$\epsilon = 0.90 \pm 0.05$	a	$\pm 0.3$
Bond albedo	$A = 0.017 \pm 0.002$	b	$\pm 0.2$
Rotation period	$4.29746 \pm 0.002 \text{ h}$	b	$\pm 0.0$

<sup>a</sup> Emery et al. (2014).

<sup>b</sup> Nolan et al. (2013).

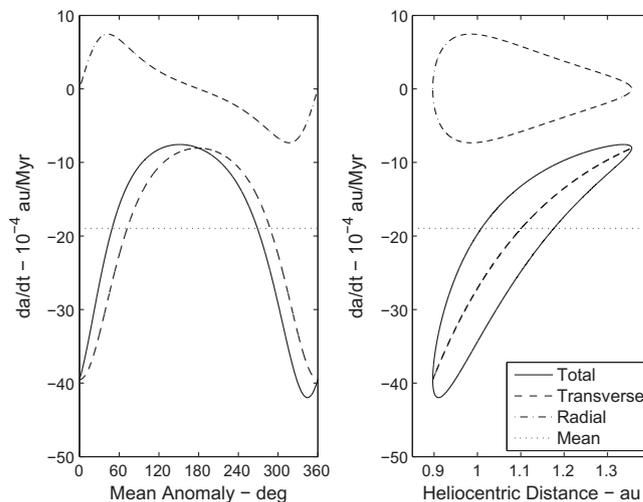


**Fig. 5.** Yarkovsky accelerations as a function of heliocentric distance, according to the linear and nonlinear Yarkovsky models.

nonlinear model we also use the Nolan et al. (2013) shape model. The bulk density  $\rho$  is estimated as a free parameter. Because the semimajor axis drift  $da/dt$  is constrained by the observations at the 0.5% level, any variations in the force computed by the thermal models manifests as a variation in the estimated bulk density. This is discussed in greater detail in Section 4.

JPL solution 85 (Table 3) uses the nonlinear model, and is assumed to be our most accurate orbital solution. To indicate the differences between the two models, Fig. 5 depicts the estimated thermal recoil accelerations from the nonlinear and linear models. The plot reveals an excellent agreement between the two models in the transverse acceleration, which is to be expected since the transverse component is constrained by the observed orbital runoff and the associated semimajor axis drift. The radial and normal (out-of-plane) accelerations show a good but imperfect agreement, with linear model accelerations being noticeably reduced relative to the nonlinear model.

Fig. 6 shows how the radial and transverse accelerations in the linear model affect the instantaneous and average values of  $da/dt$ , as derived from the classical Gauss planetary equations. During a given orbit, the variations in the instantaneous drift rate are much larger from the transverse component. The normal component of acceleration does not affect the semimajor axis. While the radial component of acceleration does lead to variations in semimajor axis during an orbital period, in the mean the radial term does not contribute to semimajor axis drift, which is a classical result if the radial accelerations are symmetric about perihelion. However, Fig. 5 reveals that symmetry is not necessarily present in this case. There is a slight hysteresis in the radial acceleration profile for the linear model, but because the curve crosses itself the integrated area under the curve in one orbit nets to approximately zero. In contrast, the nonlinear radial acceleration has a more



**Fig. 6.** The history of  $da/dt$  stemming from the transverse and radial components of the Yarkovsky acceleration. The linear Yarkovsky model is depicted.

significant hysteresis that does not sum to zero, and thus the radial component of acceleration actually contributes to  $da/dt$  in the mean. This behavior is presumably associated with the fact that thermal energy penetrates more deeply below the asteroid surface around perihelion when the absorbed radiation is greatest, which leads to greater thermal emission post-perihelion that pre-perihelion. We find that in the nonlinear model the radial acceleration increases  $da/dt$  by 0.3%, which is not negligible relative to the 0.5% precision of the estimate. The result is that the transverse component must contribute 0.3% more in magnitude to compensate. With the linear model the radial contribution to  $da/dt$  is 60 times less.

Table 5 shows the variation in estimated  $da/dt$  associated with the different Yarkovsky models. JPL solution 87 ( $d = 2.25$ ) is the reference solution for the comparison, and the linear and nonlinear models yield  $da/dt$  values within 0.03%, less than a tenth of the formal uncertainty. The result for the typical default value  $d = 2$  is also tabulated and agrees well. This is not surprising since the astrometry provides a strong constraint on  $da/dt$  that the models must accommodate. At this level of precision, the averaged  $da/dt$  may not be the best means of quantifying the Yarkovsky effect because the mean value changes as the orbit undergoes strong planetary perturbations (Figs. 3 and 4). Nonetheless, it is informative when comparing objects and assessing the scale of the Yarkovsky effect and so we continue to use it here.

As discussed in Section 5, Bennu will have a close approach to Earth in 2135 at around the lunar distance. Table 5 also lists the variation in the 2135  $b$ -plane coordinates ( $\zeta_{2135}, \zeta_{2135}$ ) associated with the different Yarkovsky models. We describe these coordinates more fully later, but the salient point is that  $\Delta\zeta_{2135}$  reveals the importance of the model variation for long term predictions, while  $\Delta da/dt$  reflects the relevance to the orbital estimate over the fit span from 1999 to 2013.

**Table 5**

Dynamical effect of several model variations. The columns indicate the type of model variation and the associated change in semimajor axis drift rate  $da/dt$  or 2135  $b$ -plane coordinates ( $\zeta_{2135}, \zeta_{2135}$ ). Tabulated  $\Delta$  values are with respect to JPL solution 87 (Table 3), for which  $da/dt = -18.973 \times 10^{-4}$  au/Myr at epoch 2011-January-1.0 and  $(\zeta_{2135}, \zeta_{2135}) = (-125, 932 \text{ km}, 281, 597 \text{ km})$ .

Model	$\Delta da/dt$ ( $10^{-4}$ au/Myr)	$\Delta \zeta_{2135}$ (km)	$\Delta \zeta_{2135}$ (km)	Remarks
<i>Yarkovsky model</i>				
Nonlinear	-0.004	-43	6251	Solution 85
Linear	0.006	-16	2096	Solution 86
$d = 2.25$	0.000	0	0	Solution 87
$d = 2.00$	0.006	-21	3423	
<i>Asteroid perturbations</i>				
25 Perturbers	0.000	0	0	Solution 87
BIG-16 only	-0.004	2	-409	
CPVH only	-0.010	22	-3714	
<i>Earth oblateness limit</i>				
10 au	0.000	0	-70	
1 au	0.000	0	0	Solution 87
0.1 au	0.000	0	-25	
0.01 au	-0.004	11	-1822	
0.001 au	-0.004	10	-1703	
<i>Relativity model</i>				
Full EIH	0.000	0	0	Solution 87
Basic Sun model	0.305	-1128	168,469	
EIH Sun only	0.295	-1069	160,086	
w/o Mercury	-0.001	1	-240	
w/o Venus	0.017	-54	8954	
w/o Earth	0.291	-1059	159,130	
w/o Mars	0.000	0	-43	
w/o Jupiter	-0.012	46	-7652	
w/o Saturn	-0.004	11	-1859	
w/o Uranus	0.000	-1	168	
w/o Neptune	0.000	-0	60	
w/o Moon	0.004	-11	1801	
<i>Outlier rejection</i>				
$\chi_{\text{rej}} = 3$	0.049	-537	84,670	7 del.
$\chi_{\text{rej}} = 2$	0.026	-414	65,916	15 del.
$\chi_{\text{rej}} = 1.5$	0.018	-243	39,502	24 del.
$\chi_{\text{rej}} = 1$	0.003	-13	2220	49 del.
Manual	0.000	0	0	91 del., Solution 87
<i>Integration tolerance</i>				
$10^{-16}$	0.000	-0	60	
$10^{-15}$	0.000	0	0	Solution 87
$10^{-14}$	-0.002	4	-721	
$10^{-13}$	-0.003	-5	831	
<i>Other</i>				
Area/Mass = 0	-0.001	5	-1122	
DE405 w/BIG-16	-0.048	84	-14160	

Note: For reference, the formal uncertainty in the  $da/dt$  estimate is  $0.100 \times 10^{-4}$  au/Myr and in  $\zeta_{2135}$  is roughly 60,000 km.

In addition to the Yarkovsky effect, our dynamical model also includes another nongravitational perturbation related to solar radiation, namely direct solar radiation pressure (SRP, Vokrouhlický and Milani, 2000). Based on the Nolan shape model and the mass estimate discussed in Section 4, we assume an area-to-mass ratio of  $2.59 \times 10^{-6}$  m<sup>2</sup>/kg, which leads to an acceleration of  $1.2 \times 10^{-11}$  m/s<sup>2</sup> at 1 au, an order of magnitude greater than the radial acceleration from thermal re-emissions (see Fig. 5). Reflected radiation pressure is negligible due to the 1.7% Bond albedo of the body (Emery et al., 2014). Even though the acceleration of SRP is several times greater than that from thermal re-emission, it has little effect on the orbital predictions as it is perfectly aliased with the solar gravity. Turning SRP on and off changes the estimated semimajor axis (by  $\sim 67\sigma$ ) but leaves the mean motion unchanged. Thus there is only a minor effect on the trajectory from eliminating solar radiation pressure from the force model (by assuming an area-to-mass ratio of zero), as can be seen in Table 5 under the entry labeled Area/Mass = 0.

### 3.2. Gravitational perturbations

The gravitational effects of the Sun, eight planets, the Moon and Pluto are based on JPL's DE424 planetary ephemeris (Folkner, 2011). The use of the older DE405 planetary ephemeris (Standish, 2000) leads to a modest variation in the estimated  $da/dt$  and the predicted  $\zeta_{2135}$  as indicated in Table 5.

When Benu is near the Earth we modeled the gravitational perturbation due to Earth oblateness. Table 5 indicates the effect of varying the distance within which the oblateness model is included. We found that unless the effect was included whenever the asteroid is closer than 0.3 au there is a modest but discernible effect on the orbit determination and propagation. As a result we used a 1 au cutoff as our baseline.

Perturbing asteroids were also included in the force model. Using DE424, we developed mutually perturbed trajectories of the four largest Asteroids (1 Ceres, 2 Pallas, 4 Vesta and 10 Hygeia) and designated this perturber model the CPVH small body

**Table 6**  
Main belt asteroid perturbers, associated  $GM$  values and the dynamical relevance of each as in the previous table.

Count	IAU No.	Name	$GM$ (km <sup>3</sup> /s <sup>2</sup> )	$\Delta da/dt$ (10 <sup>-4</sup> au/Myr)	$\Delta\zeta_{2135}$ (km)	$\Delta\zeta_{2135}$ (km)
<i>CPVH</i>						
1	1	Ceres	63.13 <sup>a</sup>	-0.118	298	-51,351
2	2	Pallas	13.73 <sup>b</sup>	-0.109	295	-50,754
3	4	Vesta	17.29 <sup>c</sup>	0.437	-893	136,194
4	10	Hygiea	5.78 <sup>a</sup>	0.002	-11	1661
<i>Additions for BIG-16</i>						
5	3	Juno	1.82 <sup>d</sup>	0.002	-8	1126
6	6	Hebe	0.93 <sup>d</sup>	0.019	-65	10,790
7	7	Iris	0.86 <sup>d</sup>	-0.014	57	-9532
8	15	Eunomia	2.10 <sup>d</sup>	-0.001	1	-260
9	16	Psyche	1.81 <sup>d</sup>	-0.005	16	-2615
10	29	Amphitrite	0.86 <sup>d</sup>	0.000	-0	30
11	52	Europa	1.59 <sup>d</sup>	0.001	-5	643
12	65	Cybele	0.91 <sup>d</sup>	0.000	1	-188
13	87	Sylvia	0.99 <sup>d</sup>	0.000	-1	66
14	88	Thisbe	1.02 <sup>d</sup>	-0.007	19	-3099
15	511	Davida	2.26 <sup>d</sup>	0.000	1	-114
16	704	Interamnia	2.19 <sup>d</sup>	0.000	0	-7
<i>Additions for Benu</i>						
17	11	Parthenope	0.39 <sup>d</sup>	-0.006	18	-3031
18	14	Irene	0.19 <sup>d</sup>	-0.002	6	-1013
19	56	Melete	0.31 <sup>d</sup>	-0.002	-6	1070
20	63	Ausonia	0.10 <sup>d</sup>	-0.002	4	-731
21	135	Hertha	0.08 <sup>d</sup>	0.001	-6	872
22	259	Aletheia	0.52 <sup>d</sup>	0.000	0	-31
23	324	Bambergga	0.69 <sup>d</sup>	-0.001	-4	628
24	419	Aurelia	0.12 <sup>d</sup>	0.002	2	-259
25	532	Herculina	0.77 <sup>d</sup>	0.005	-13	2200

<sup>a</sup> Baer et al. (2011).

<sup>b</sup> Konopliv et al. (2011).

<sup>c</sup> Russell et al. (2012).

<sup>d</sup> Carry (2012).

ephemeris. We then computed the orbits for the next 12 largest main belt asteroids, each of which was perturbed only by DE424 and CPVH. The combination of these 12 additions with CPVH formed a perturber list of the 16 most massive asteroids (based on current mass estimates), and we refer to this perturber model as BIG-16. Finally, we added nine more asteroids, which were selected according to an analysis of which perturbers could most significantly influence the orbit of Benu. The final nine asteroid ephemerides, each perturbed by DE424 and BIG-16, were combined with BIG-16 to form our final, baseline perturber set of 25 asteroids.

Table 5 indicates the effect on the estimated value of  $da/dt$  due to changing the perturber model to either BIG-16 or CPVH. In either case the effect is small and far less than the 0.5% formal uncertainty. Table 6 lists the assumed masses for each of the asteroid perturbers, as well as the effect of deleting each one of them from the perturber model. From this table one can see that, besides the very large contribution of 1 Ceres, 2 Pallas and 4 Vesta, only two other asteroids affect  $da/dt$  at more than  $0.1\sigma$ , namely 6 Hebe and 7 Iris. Beyond CPVH, the accumulation of smaller and smaller contributions tends toward a zero mean. This is not surprising, and is a fortuitous result of the low aphelion distance (1.36 au) of Benu, which limits the perturbations of the main asteroid belt.

### 3.3. Relativity

We used a full relativistic force model including the contribution of the Sun, the planets, and the Moon. More specifically, we used the Einstein–Infeld–Hoffman (EIH) approximation (Will, 1993; Moyer, 2003; Soffel et al., 2003). Table 5 shows the variations in  $da/dt$  and  $\zeta_{2135}$  associated with different relativistic models. We found a 1.6% difference in  $da/dt$  with respect to the basic Sun-only Schwarzschild term (Damour et al., 1994, Section 4). This is only in small part due to the switch to the improved model for

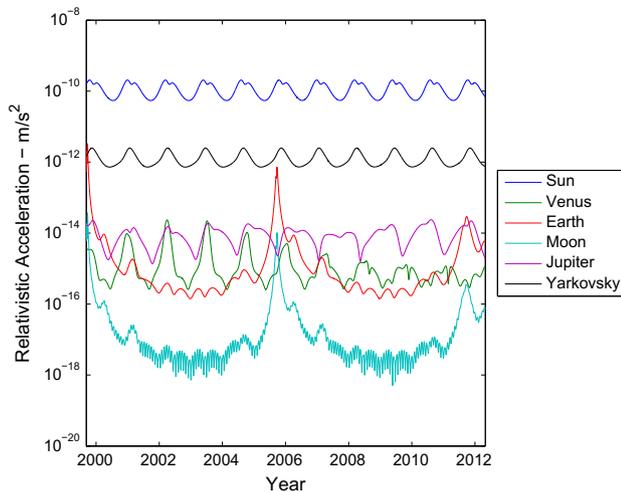
the Sun, as the contribution of some of the planets is not negligible. In particular, the Earth's relativistic terms are responsible for a 1.5% ( $\sim 3\sigma$ ) variation because of significant short range effects during Benu Earth approaches in 1999 and 2005. Fig. 7 shows the main relativistic terms and compares them to the Yarkovsky perturbation. Clearly, the relativistic effects of the Sun are very important, about two orders of magnitude greater than Yarkovsky, though it matters little whether the Schwarzschild or EIH approximation is used. The Earth's relativistic terms are at the same level as Yarkovsky during the Earth encounters in 1999 and 2005. At other times, Jupiter and Venus perturbations are generally more significant, although even the lunar term can briefly exceed them during close Earth encounters.

The Yarkovsky effect is primarily a transverse acceleration and thus the transverse component of the relativistic perturbations can alias as Yarkovsky if not properly modeled. Fig. 8 depicts how the transverse component of Earth relativistic perturbation during the 1999 close approach is several times greater than the transverse acceleration associated with the Yarkovsky effect. Because the modeled semimajor axis drift is an integral of the two curves in Fig. 8, neglecting Earth relativity leads to significant errors.

Table 5 indicates that Earth's relativity term is the most significant factor among all of those considered, at least on longer timescales as indicated by  $\Delta\zeta_{2135}$ . On shorter timescales, i.e., during the fitspan, Table 6 reveals that the perturbation of Vesta leads to a greater change in  $\Delta da/dt$  than Earth relativity, although Earth relativity is still more important than Vesta for longer integrations. The uncertainty in both of these perturbations is negligible.

### 3.4. Outlier treatment

The selection of outliers has a statistically significant effect on the orbital prediction. To explore this sensitivity, we have



**Fig. 7.** Comparison of the Yarkovsky effect with the relativistic perturbations on Benu. The magnitude of the respective accelerations is plotted.

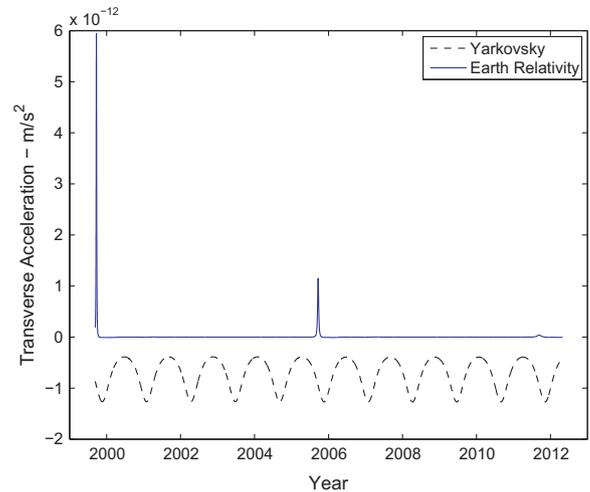
generated for comparison several orbital solutions with a variety of automatic outlier rejection parameter settings. These are summarized in Table 5, which lists the  $\chi_{\text{rej}}$  parameter value used in the algorithm described by Carpio et al. (2003). The outlier rejection threshold  $\chi_{\text{rej}}$  is similar to the sigma level at which outlier rejection takes place, but the algorithm is more sophisticated than simple sigma clipping. The number of observations deleted in the various cases is also tabulated. The importance of careful attention to statistical outliers is indicated by the fact that the solutions are seen to progress steadily towards solution 87 as progressively more stringent requirements are placed on the outlier selection. In terms of estimated  $da/dt$ , the most inclusive approach to outliers falls about  $0.5\sigma$  from the solution 87 estimate. However, even a cursory inspection of the data indicates that numerous spurious points remain in the fit for that solution. While the manual outlier rejections in solution 87 are more aggressive than even the most stringent automatic selections (e.g.,  $\chi_{\text{rej}} = 1$ ), the separation between these two solutions is slight, and both are very well constrained by the observational data, with 478 and 519 optical observations, respectively. Most of the movement in the orbital predictions due to outlier treatment can be traced to a small handful of observations with significantly biased observations. In general, the manual approach deletes more observations because it often removes the entire contribution from a problematic observatory, rather than only those that are clearly discordant with the bulk of the data.

### 3.5. Numerics and software validation

Giorgini et al. (2002) show that numerical integration errors are not significant for the case of the year 2880 potential impact of 1950 DA. We reach the same conclusion for Benu by varying the integration error tolerance used in our software. Table 5 shows that the estimated value of  $da/dt$  is not materially affected by integrator tolerance values  $\leq 10^{-14}$ .

All of the results in this paper are based on the outputs of the JPL orbit determination and propagation software package. We have verified our primary JPL results by careful cross-referencing with comparable results obtained with the OrbFit orbit determination and integration package.<sup>1</sup> We compared the orbital solutions, the sensitivity to different settings of the dynamical model, and

<sup>1</sup> See <http://adams.dm.unipi.it/orbfit>; we used the OrbFit version 4.3, which is still in beta testing.



**Fig. 8.** Comparison of the transverse perturbations from the Yarkovsky effect and the relativistic component due to the Earth. Earth relativity can be significantly greater in magnitude than the Yarkovsky effect during Earth encounters, and thus provides a statistically significant change in semimajor axis that must be accounted for in the dynamical model.

the orbit propagation, and we found that these two independent software packages reproduce each other's results very well. Indeed, the comparison with the OrbFit software package revealed to us the critical importance of the Earth general relativity terms in the dynamical model. After resolving modeling discrepancies, we found that the determinations of  $A_T$  from the orbital fits was consistent to better than 0.1%, corresponding to  $< 0.2\sigma$  in  $da/dt$ . We are therefore confident that our findings are not corrupted by software bugs.

## 4. Mass, bulk density and implications

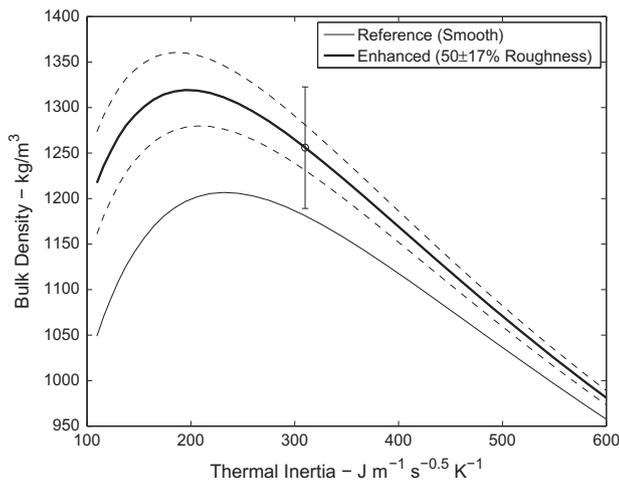
The linear and nonlinear Yarkovsky models both require the asteroid bulk density  $\rho$ , which was initially unknown. However, since all other parameters in the model are independently known, we can estimate this quantity. We used the linear model to compute JPL solution 86, with an associated bulk density estimate of  $1314 \text{ kg/m}^3$ . Similarly, we used the more accurate nonlinear model to obtain JPL solution 85 (Table 3), which includes an associated bulk density estimate  $\rho = 1181 \text{ kg/m}^3$ . The discrepancy between the two models is a combination of factors, but overall implies that the linear model overestimates the transverse Yarkovsky force by about 11% and thus the estimated value of  $\rho$  is increased to maintain the required mean  $da/dt$ . Of particular importance is the oblateness of the Benu shape model. This flattening leads to a diminished cross-sectional area, which tends to reduce the energy input and thereby reduce the thermal recoil acceleration in the nonlinear model. According to the theory of Vokrouhlický (1998) this should account for a 5–10% error. Additionally, the linearization of the heat transfer problem tends to slightly increase the thermal emissions (Čapek et al., 2005), which readily accounts for the remaining discrepancy.

The uncertainty in the bulk density estimate is a complex story due to the numerous parameters that are used in formulating the estimate. The formal uncertainty that is obtained directly from the orbit determination (Table 3) captures only the 0.5% uncertainty in the semimajor axis drift, and does not account for the more significant sources of uncertainty outlined in Table 4. The final column of that table indicates how the associated parameter uncertainty maps into the bulk density uncertainty, from which we conclude that the uncertainty in thermal inertia and asteroid size dominate over other error sources.

As described in Section 3.1, for a sphere we are sensitive to the product  $\rho D$  and so the density estimate varies inversely with the asteroid size, in contrast to other density estimates that are derived from the asteroid volume. For a non-spherical shape the Yarkovsky acceleration actually depends on the quotient of the radiative cross-sectional area and the volume  $A/V$ , rather than  $1/D$ , and yet the contribution to bulk density is still linear.

In contrast, the bulk density dependence on thermal inertia is markedly nonlinear (Fig. 9). The thermal inertia of Bennu is  $\Gamma = 310 \pm 70 \text{ J m}^{-1} \text{ s}^{-0.5} \text{ K}^{-1}$  (Emery et al., 2014). This value is derived from analysis of a suite of observations of thermal flux, consisting of 8–20  $\mu\text{m}$  spectra of opposite hemispheres and photometry at 3.6, 4.5, 5.8, 8.0, 16, and 22  $\mu\text{m}$  of 10 different longitudes using the Spitzer Space Telescope. The thermophysical modeling that results in this thermal inertia estimate incorporates the detailed shape and spin information derived from radar imaging and visible light curve photometry, and explicitly includes the effects of macroscopic surface roughness.

Previous estimates of Bennu's thermal inertia (Emery et al., 2010; Emery et al., 2012; Müller et al., 2012) are somewhat higher  $\sim(600 \text{ J m}^{-1} \text{ s}^{-0.5} \text{ K}^{-1})$ , and have led to correspondingly lower bulk density estimates (e.g.,  $970 \text{ kg/m}^3$ , Chesley et al., 2012). There are two primary reasons for the different thermal inertia values. First, the earlier studies used only a subset of the Spitzer data, namely the spectra. Those spectra are noisy, making it difficult to scale the different segments of the full spectra relative to each other. Different scale values affect the best-fit model surface temperature distribution, and therefore the derived thermal inertia. In contrast, Emery et al. (2014) include the large set of photometric data, which have much higher signal-to-noise than the spectral data, leading to results that are both more accurate and have significantly smaller uncertainties. Note that if the uncertainties in scale factors are included in the uncertainty estimates from the spectral data, the error bars overlap the Emery et al. (2014) estimate given above. Second, the earlier estimates assumed a spherical shape for Bennu. However, Bennu is actually fairly oblate (Nolan et al., 2013). The oblateness causes surface facets to be tilted farther away from the Sun as compared to a sphere. The models assuming spherical shape compensate for the more direct viewing geometry with a lower thermal inertia. For these reasons, we rely here on the updated thermal inertia from Emery et al. (2014).



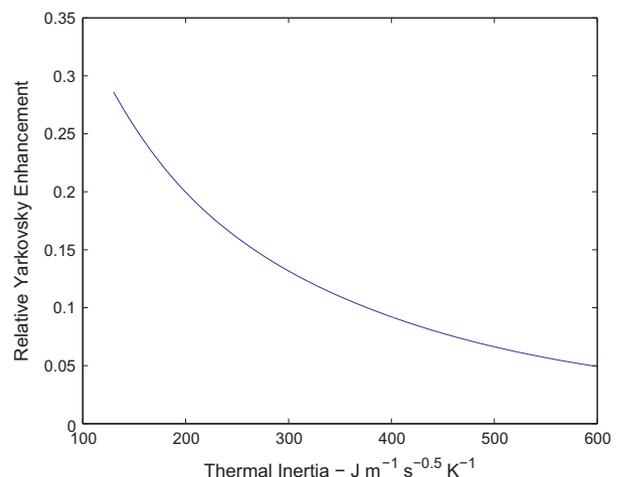
**Fig. 9.** The bulk density estimate for Bennu depends nonlinearly on the estimated thermal inertia  $\Gamma$ . Neglecting surface roughness, we obtain a bulk density estimate of  $1180 \text{ kg/m}^3$ . However, taking into account the assumed Yarkovsky enhancement from roughness, as well as uncertainties in obliquity, diameter and thermal inertia, we obtain  $1260 \pm 70 \text{ kg/m}^3$  as depicted here.

The effects of surface roughness on Bennu are not incorporated into the  $\rho$  estimates so far, and yet Rozitis and Green (2012) used a sophisticated thermophysical model to show that the thermal effects of surface roughness always tend to increase the Yarkovsky effect. For the Bennu shape model with the roughest surface model the increase in  $\rho$  is 12.7% at the nominal thermal inertia (see Fig. 10), pointing to roughness as the dominant source of uncertainty.

Although we have no rigorous estimates of Bennu's roughness, it is unlikely to be either remarkably smooth or extremely rough. The thermal inertia from Emery et al. (2014) is somewhat lower than that derived for (25143) Itokawa (Müller et al., 2005), which suggests that Bennu could have a somewhat smoother surface texture than Itokawa. Elsewhere, Nolan et al. (2013) find that the radar circular polarization ratio, which is a proxy for near-surface roughness at the scale of the radar wavelength (12.6 and 3.5 cm), indicates a relatively smooth surface compared to other bodies that are not particularly rough. In particular, they find Bennu has significantly lower polarization ratios than Itokawa at both wavelengths and conclude that Bennu is likely smoother than Itokawa. However, Nolan et al. (2013) did identify a boulder on Bennu with a size of 10–20 m, suggesting the presence of smaller boulders below the resolution limit of 7.5 m and a surface that is not perfectly smooth. In the absence of reliable estimates, we assume that the roughness is  $50 \pm 17\%$ , which covers the full range 0–100% at  $3\sigma$ . This yields the “Enhanced” curve in Fig. 9. Inflating the reference value  $\rho = 1181 \text{ kg/m}^3$  by 50% of the 12.7% enhancement yields our best estimate of  $1255 \text{ kg/m}^3$ .

To develop a comprehensive estimate of the uncertainty in the presence of the nonlinearity evident in Fig. 9 we take a Monte Carlo approach. We sample  $\Gamma$ ,  $A/V$  and  $\gamma$  according to the normal distributions given by Table 4. We obtain the Yarkovsky enhancement for each case by sampling a roughness from  $50 \pm 17\%$  and using it to scale the 100%-rough enhancement (from Fig. 10) at the sampled thermal inertia. This leads to our final bulk density estimate of  $\rho = 1260 \pm 70 \text{ kg/m}^3$ . The associated mass,  $GM$  and area-to-mass ratio values are listed in Table 7.

We assume that the Yarkovsky effect is the only significant source of nongravitational acceleration on Bennu, and in particular we do not account for the possibility of outgassing, which would corrupt our bulk density estimate if it were significant. If the direction of any hypothetical outgassing is skewed towards the evening terminator, which might be expected as the diurnal thermal wave penetrates to release buried volatiles, then it would combine to increase the magnitude of the transverse acceleration on the



**Fig. 10.** Yarkovsky effect enhancement due to 100% surface roughness as a function of thermal inertia.

**Table 7**  
Bennu bulk density and related quantities with  $1\sigma$  uncertainties.

Bulk density $\rho$ (kg/m <sup>3</sup> )	1260 ± 70
Mass $M$ (10 <sup>10</sup> kg)	7.8 ± 0.9
$GM$ (m <sup>3</sup> /s <sup>2</sup> )	5.2 ± 0.6
Area-to-mass ratio (10 <sup>-6</sup> m <sup>2</sup> /kg)	2.4 ± 0.1

asteroid. In this case, the bulk density estimate should be increased to account for outgassing. Conversely, if the outgassing tends to cancel the transverse thermal recoil acceleration then our bulk density estimate will be an overestimate.

3200 Phaethon, a B-type asteroid like Bennu, has long been identified as the parent body of the Geminid meteor shower (Whipple, 1983). This suggests that at least some objects of this taxonomic type have the possibility of shedding material, possibly as fine-grained material entrained in gasses released by the sublimation of volatiles. However, the only report of possible dust release on Phaethon took place at a heliocentric distance of 0.14 au, and it has been suggested that the Geminids are shed from Phaethon due to fracturing associated with fatigue from thermal cycling and the decomposition of hydrated minerals (Jewitt and Li, 2010). There is no evidence that Bennu is shedding material and, with  $q \simeq 0.9$  au, solar heating is markedly lower than that experienced by Phaethon. Therefore, we do not consider it likely that outgassing is significantly affecting our results.

Is the estimated  $GM$  in Table 7 consistent with loose material on the equator being gravitationally bound to the surface? Nolan et al. (2013) report that the maximum equatorial diameter is 565 m. From this and the known spin rate we find a lower bound of  $GM = 3.7 \text{ m}^3/\text{s}^2$  if we assume that the gravitational attraction exceeds the centrifugal acceleration. This is a reasonable expectation because, as discussed above, we consider it likely that Bennu's surface is dominated by cm-scale and smaller regolith. However, it is difficult to rule out the possibility that some regions along the equator are devoid of loose material, or that induration or cohesion provides sufficient binding to keep material on the surface that would otherwise depart. Even so, the assumption that material is gravitationally bound to the surface would imply  $\rho > 890 \text{ kg/m}^3$  which is satisfied here with a high degree of confidence.

One can compute the macroporosity,  $P = 1 - \rho/\rho_M$ , of an asteroid if the bulk densities of the body  $\rho$  and the appropriate meteorite analog  $\rho_M$  are known. Bennu has been identified as a B-type asteroid, and CI and CM carbonaceous chondrite meteorite samples provide the best spectral match (Clark et al., 2011). Consolmagno et al. (2008) report that CM meteorite samples have average bulk densities of  $2130 \pm 190 \text{ kg/m}^3$ , which, taken together with our asteroid bulk density estimate and uncertainty from Table 7, suggests  $P$  in the range 30–50%. For CI meteorites the data are fewer and less conclusive, with different measurement techniques leading to sample bulk densities similar to those of CM meteorites or as low as  $1600 \text{ kg/m}^3$ , which would allow  $P$  to be as low as 20% (Consolmagno et al., 2008). Overall, our judgement is that the macroporosity of Bennu is likely to be in the range  $40 \pm 10\%$ , but could be as low as 20%.

Bennu's estimated bulk density is comparable to values obtained for other low-albedo asteroids, from large asteroids in the main asteroid belt to smaller asteroids in the inner Solar System. The average C-type asteroid in the main belt, according to estimates derived from the gravitational perturbations on the planets, predominantly Mars, is  $\rho = 1290 \pm 60 \text{ kg/m}^3$  (Standish, 2000). This estimate is biased toward asteroids with diameters much larger than 100 km, which contain the majority of the mass among C-types. However, a flyby of the 53-km, C-type Asteroid (253) Mathilde by the NEAR spacecraft also yielded a similar density

$\rho = 1300 \pm 200 \text{ kg/m}^3$  (Yeomans et al., 1997). Furthermore, Marchis et al. (2008a,b) report densities of several C-complex binary asteroids in the main belt. The summary given by Marchis et al. (2008a, Table 8) suggests that the density distribution of large, C-complex binaries is  $\rho = 1100 \pm 300 \text{ kg/m}^3$ . Among the near-Earth asteroid population, Shepard et al. (2006) report that the low-albedo binary system (276049) 2002 CE<sub>26</sub> has a 3.5-km primary with bulk density  $900^{+500}_{-400} \text{ kg/m}^3$ , which is comparable within the error bars to that of Bennu.

What do Bennu's density and porosity tell us? To say anything useful here, we need to put Bennu into context. Our best estimates suggest Bennu is a fragment of a larger body that experienced a collision (Campins et al., 2010; Walsh et al., 2013). Similarly, the large multiple systems examined by Marchis et al. (2008a,b) were presumably formed by large collision events (e.g., Durda et al., 2004). One would expect these smashed up target worlds, with porosity added by the fragmentation and ejecta re-assembly process, to have low bulk densities in comparison to their meteorite analogs, and that the smallest bodies should tend to still lower density due to self-gravitation and compaction on the large bodies (Baer and Chesley, 2008; Baer et al., 2011). However, this size dependence seems to vanish at sizes below roughly 250–300 km, below which no obvious size trend exists in macroporosity, an observation reinforced by Bennu. With this background, we argue that Bennu's porosity was produced by a similar mechanism, consistent with our hypothesis that void space and porosity were added into Bennu by its formation and/or by post-formation processes. Taken together, these arguments allow us to infer that Bennu has a heavily fractured or shattered internal structure combined with a substantial porosity. These characteristics fit the definition of a rubble pile asteroid provided by Richardson et al. (2002).

## 5. Earth close approaches

The deterministic prediction interval for the trajectory of Bennu extends for 481 years, from 1654 to 2135. Earth close approaches within 0.05 au during this time interval are listed in Table 8. Close encounters outside of this interval have encounter time uncertainties well in excess of a day. The closest approach in this interval is the nominally sub-lunar distance encounter in 2135. This deep close approach leads to strong scattering of nearby orbits, and so the subsequent impact hazard can only be explored through statistical means.

Fig. 3 shows the time history of Bennu's orbital elements from 2000 to 2136. There are variations of a few percent due to Earth close approaches, especially in 2135. As the Yarkovsky induced orbital drift depends on the osculating orbital elements (Farnocchia et al., 2013b), there are also commensurable variations in the  $da/dt$  evolution (see Fig. 4).

Table 5 details the effect of various differing models on the  $b$ -plane coordinates ( $\xi_{2135}, \zeta_{2135}$ ) of the close approach at the last reliably predicted Earth encounter for Bennu, which takes place in 2135. The  $b$ -plane is oriented normal to the inbound hyperbolic approach asymptote and is frequently used in encounter analysis. The ( $\xi, \zeta$ ) coordinates on the  $b$ -plane are oriented such that the projected heliocentric velocity of the planet is coincident with the  $-\zeta$ -axis. In this frame the  $\zeta$  coordinate indicates how much the asteroid is early ( $\zeta < 0$ ) or late ( $\zeta > 0$ ) for the minimum possible distance encounter. In absolute value, the  $\zeta$  coordinate reveals the so-called Minimum Orbital Intersection Distance (MOID), which is the minimum possible encounter distance that the asteroid can attain assuming only changes to the timing of the asteroid encounter. For a more extensive discussion of these coordinates see Valsecchi et al. (2003) and references therein. In Table 5, the tabulated  $da/dt$  differences are indicative of the importance of

**Table 8**

Benu deterministic earth approaches closer than 0.05 AU (JPL solution 85).

Date (TDB)	Nom. dist. (AU)	$3\sigma$ min. (AU)	$3\sigma$ max. (AU)	$1\sigma$ time uncert. (s)	$1\sigma \zeta$ uncert. (km)
1654 September 17.89194	0.022033	0.010586	0.035240	49,590	816,253
1788 September 20.56364	0.009771	0.009304	0.010254	2237	32,739
1848 September 21.91904	0.007915	0.007892	0.007938	105	1573
1911 September 22.88762	0.014178	0.014177	0.014179	2.4	38
1970 September 27.10790	0.021403	0.021403	0.021403	1.6	16
1999 September 22.76422	0.014686	0.014686	0.014686	$\ll 1$	1.1
2005 September 20.44528	0.033130	0.033130	0.033130	$\ll 1$	1.2
2054 September 30.04163	0.039299	0.039299	0.039299	1.6	11
2060 September 23.02530	0.005008	0.005008	0.005008	1.0	15
2080 September 22.02378	0.015560	0.015427	0.015693	360	7318
2135 September 25.40942	0.002009	0.000819	0.003549	4746	79,674

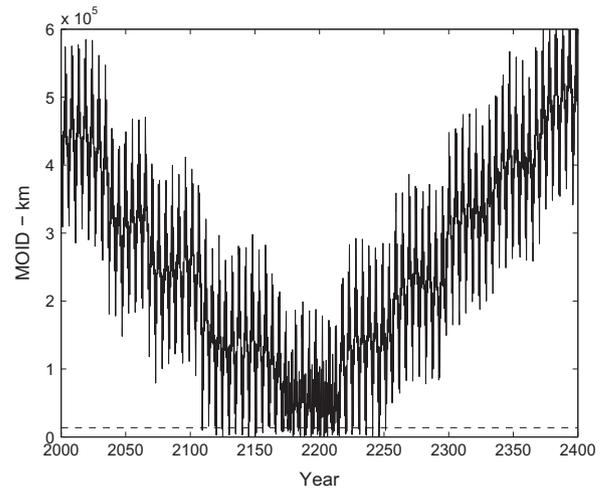
the effect on the 1999–2012 time frame of the observation set, while  $\zeta_{2135}$  provides an indication of how important the term is for the much longer integration from 2011 to 2135.

### 5.1. Impact hazard assessment

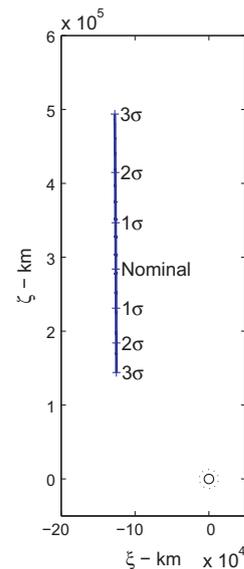
The geometry of Benu's orbit allows deep close approaches to the Earth, which require a careful assessment of the associated potential collision hazard. Fig. 11 shows the dependence on time of the Minimum Orbit Intersection Distance (MOID, see, e.g., Gronchi, 2005). According to the secular evolution, the MOID reaches its minimum near the end of the next century while short periodic perturbations make it cross the Earth impact cross section threshold at different epochs from 2100 to 2250, which is therefore the time period for which we must analyze possible close approaches. This objective is similar to that discussed by Milani et al. (2009), however we bring new analysis tools to bear on the problem and we have the benefit of crucial astrometric data not available in Milani et al. (2009). We recall that Milani et al. (2009) based much of their analysis on the variability of the 2080 encounter circumstances, finding that, for the observational data then available, this was the last encounter that was well constrained, and after which chaotic scattering made linear analysis infeasible. With the current data set, future encounter uncertainties remain modest until after 2135 (Table 8), and nonlinear analysis techniques are necessary for subsequent encounters. Thus the 2135 encounter is the central focus in our current impact hazard assessment.

We performed a Monte Carlo sampling (Chodas and Yeomans, 1999) in the 7-dimensional space of initial conditions and bulk density. Fig. 12 shows the distribution of the Monte Carlo samples on the 2135  $b$ -plane. The  $b$ -plane plot depicts the geocentric locations of the incoming hyperbolic asymptote of the Monte Carlo samples on the plane orthogonal to the asymptote, indicating the distance and direction of the closest approach point of a fictitious unperturbed trajectory (see, e.g., Valsecchi et al., 2003). The linear mapping of the uncertainty region is a poor approximation as we can see from the asymmetry of the distribution. As expected, the uncertainty region gets stretched along  $\zeta$ , which reflects time of arrival variation and is thus related to the along-track direction.

By propagating the Monte Carlo samples through year 2250 we can determine the Virtual Impactors (VIs), i.e., the Virtual Asteroids (VAs) compatible with the orbital uncertainty corresponding to an impacting trajectory. The positions of the VIs in the 2135  $b$ -plane define the 2135 keyholes, which are the coordinates on the  $b$ -plane corresponding to a subsequent impact (Chodas, 1999). On the  $b$ -plane of a given post-2135 encounter we can interpolate among nearby samples to identify the minimum possible future encounter distance. When this minimum distance is smaller than the Earth radius, the keyhole width is obtained by mapping the chord corresponding to the intersection between the line of variations and the impact cross section back to the 2135  $b$ -plane. This procedure

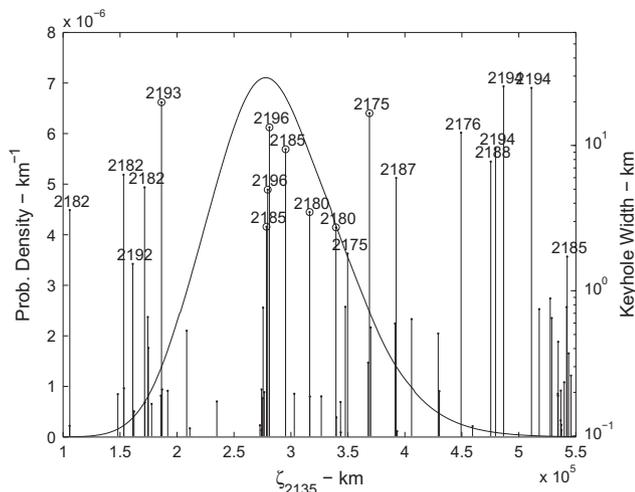


**Fig. 11.** Time history of the MOID. The Earth impact cross-section (2.1 Earth radii) is marked by the dashed line.



**Fig. 12.** Uncertainty region on the  $b$ -plane of the 2135 encounter. The Earth is plotted to scale at the origin, and the impact cross-section is indicated by the dots.

allows us to develop a map of the keyholes in the  $b$ -plane. For Benu we found about 200 keyholes in the 2135  $b$ -plane with widths ranging from 1.6 m to 54 km.



**Fig. 13.** A map of the Benu impact keyholes on the 2135 *b*-plane. The probability density is given by the curve with the left ordinate, and the keyholes are indicated by the vertical lines at their respective  $\zeta_{2135}$  positions with their widths given on the right ordinate. For clarity only keyholes wider than 1 km are labeled with the year of impact and only keyholes  $> 100$  m in width are depicted. Potential impacts with impact probability greater than  $10^{-5}$  are marked with a circle at the top of the vertical bar.

Fig. 13 shows the probability density function (PDF) of  $\zeta_{2135}$  resulting from the Monte Carlo sampling. As already noted, the linear approximation is not valid in this case, and so the PDF is distinctly non-gaussian. The figure also reveals the keyhole map in  $\zeta_{2135}$ , where the vertical bars correspond to the keyholes  $> 100$  m in width and the height of the bars is proportional to the width. For a given keyhole the impact probability (IP) is simply the product of the PDF and the keyhole width. For each of the 78 keyholes larger than 100 m and with an IP  $> 10^{-10}$ , Table 9 reports the impact year, the keyhole width, the impact probability, and the associated Palermo Scale (Chesley et al., 2002). The cumulative IP is  $3.7 \times 10^{-4}$  and the cumulative Palermo Scale is  $-1.70$ . There are eight keyholes corresponding to an IP larger than  $10^{-5}$ . Among these, the year 2196 has the highest IP,  $1.3 \times 10^{-4}$ , which arises primarily from two separate but nearby keyholes.

Fig. 14 shows the dependence of the number of keyholes and the cumulative IP on the minimum keyhole width. Although the number of keyholes increases with decreasing minimum width, the cumulative IP is essentially captured already by only the largest  $\sim 10\%$  of keyholes, i.e., those with width  $\geq 1$  km.

Post-2135 Earth encounters correspond to resonant returns (Valsecchi et al., 2003). Table 10 describes the main features of the resonant returns corresponding to an IP  $> 10^{-5}$ .

It is important to assess the reliability of our results. On one hand, the keyholes are essentially a geometric factor that does not depend on the modeling of Benu's orbit. On the other hand, the PDF on the 2135 *b*-plane can be strongly affected by the dynamical model and the statistical treatment applied to the observations. Table 5 reports the 2135 *b*-plane coordinates as a function of the different configurations of the dynamical model and different settings for the removal of outliers from the observational data set. It is worth pointing out that neglecting the Earth relativistic term produces a large error comparable to a  $3\sigma$  shift in the orbital solution. In contrast, the contribution of solar radiation pressure is rather small. As already discussed in Section 3.1, this can be explained by the fact that the action of solar radiation pressure is aliased with the solar gravitational acceleration, and neglecting solar radiation pressure in the model is therefore compensated when fitting the orbital solution to the observations. The different Yarkovsky models give  $\zeta_{2135}$  predictions within several thousand kilometers of each other. Interestingly, the shift due to

the different astrometric outlier treatment is comparable to the one due to the relativistic term of the Earth and much larger than any shift due to the other dynamical configurations. Table 6 shows the effect of removing each of the 24 perturbing asteroids included in the dynamical model. Ceres, Pallas, and Vesta give the largest contributions. Among smaller perturbers, Hebe and Iris turn out to be the most important.

We used OrbFit (see Section 3.5) to cross-check the keyhole locations and widths, the PDF of Fig. 13, and the sensitivity to the different configurations of the dynamical model. We found good overall agreement with only one noticeable difference related to the PDF: while the PDF shapes are similar, the peaks are separated by about 40,000 km in  $\zeta_{2135}$ . This difference is related to the  $0.2\sigma$  shift in the nominal solution (see Section 3.5) and is in part due to the fact that OrbFit presently uses JPL's DE405 planetary ephemeris rather than DE424, which is used in our analysis.

## 5.2. Statistical close approach frequency

We now want to characterize the Earth encounter history for Benu's orbital geometry. The first step is to understand the statistical properties of Earth encounters during a node crossing cycle (see Fig. 11). For this we generated a dense sampling of 20,000 Virtual Asteroids on the Solution 87 orbit (Table 3), but with a uniform sampling of the mean anomaly from the full range, 0 to  $2\pi$ , to randomize the node crossing trajectory. For each VA we recorded all of the close approaches within 0.015 au during JPL's DE424 ephemerides time interval, i.e., from year  $-3000$  to year  $3000$ , which contains only one node crossing cycle.

We modeled the number of Earth approaches within a given distance in a given time frame as a Poisson random variable. We estimated the Poisson parameter  $\lambda$  by averaging over the trajectories of the VAs. Fig. 15 shows the probability of having at least one close approach within a given geocentric distance during a node crossing cycle (dashed line). For instance, during each node crossing cycle we have 38% probability of a close approach within the lunar distance and a  $6 \times 10^{-4}$  probability of an impact. This is consistent with our predictions for the next node crossing taking place around 2200, for which we have similar probabilities of impact and sub-lunar distance encounters.

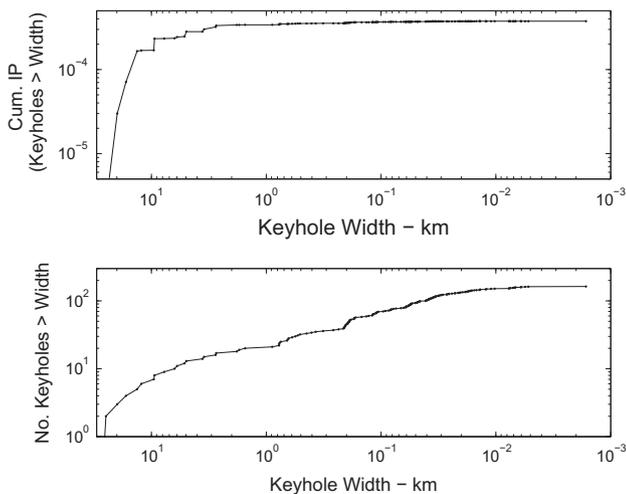
To analyze the long-term history we need to account for the secular evolution of Benu's orbit. As reported by NEODYs,<sup>2</sup> Benu's perihelion precession period is 28,100 year and each precession period contains four node crossings. For a given time interval we can compute the expected number of node crossings and suitably scale the probability of an encounter within a given distance during a single node crossing cycle. The solid lines in Fig. 15 show the probability of at least one Earth encounter within a given distance for time intervals of 1 year, 1000 year, and 1,000,000 year. For example, in a 1000 year time interval the probability of a close encounter within a lunar distance is 7% while the probability of an impact is  $9 \times 10^{-5}$ . This indicates that for Benu's current orbital configuration the mean Earth impact interval is  $\sim 10$  Myr. Note that the precession period assumed here is for the nominal orbit of Table 3, while the precession period does change due to planetary interactions. For instance, the nominal semimajor axis increases and the uncertainty grows after the 2135 encounter, causing the post-2135 precession period to be in the range 28,900–33,400 years. Delbò and Michel (2011) analyze the orbital evolution of Benu on a much longer time frame than a single node crossing and find that the median lifetime of Benu could be  $\sim 34$  Myr, but their study allowed for substantial orbital evolution to take place, while our results are valid for the present-day, un-evolved orbit.

<sup>2</sup> <http://newton.dm.unipi.it/neody/index.php?pc=1.1.6&n=benu>.

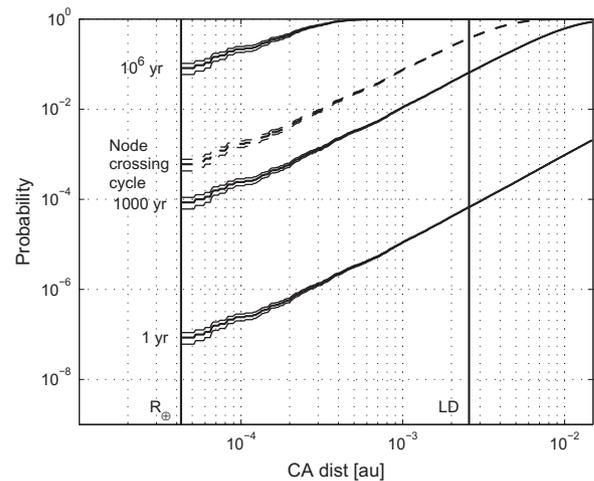
**Table 9**  
Keyholes in 2135 *b*-plane and associated impact probabilities, JPL solution 85.

Year	$\zeta_{2135}$ (km)	$\zeta$ -width (km)	Impact prob.	Palermo scale	Year	$\zeta_{2135}$ (km)	$\zeta$ -width (km)	Impact prob.	Palermo scale
2192	92,981	0.17	$1.04 \times 10^{-10}$	-8.27	2195	343,783	0.11	$4.15 \times 10^{-07}$	-4.67
2187	93,280	0.32	$2.01 \times 10^{-10}$	-7.97	2181	347,699	0.78	$2.86 \times 10^{-06}$	-3.80
2198	99,162	0.25	$3.33 \times 10^{-10}$	-7.78	2175	349,704	1.80	$6.43 \times 10^{-06}$	-3.43
2187	105,731	0.12	$3.44 \times 10^{-10}$	-7.74	2186	367,729	0.32	$8.12 \times 10^{-07}$	-4.36
2182	105,945	3.59	$1.08 \times 10^{-08}$	-6.23	<b>2175</b>	<b>368,877</b>	<b>16.67</b>	<b><math>4.13 \times 10^{-05}</math></b>	<b>-2.63</b>
2197	148,127	0.19	$3.08 \times 10^{-08}$	-5.81	2181	370,007	0.56	$1.35 \times 10^{-06}$	-4.13
2182	153,125	6.29	$1.42 \times 10^{-06}$	-4.11	2193	391,326	0.60	$8.52 \times 10^{-07}$	-4.36
2187	153,481	0.21	$4.94 \times 10^{-08}$	-5.58	2187	392,308	5.97	$8.34 \times 10^{-06}$	-3.35
2192	161,203	1.53	$5.83 \times 10^{-07}$	-4.52	2198	393,200	0.11	$1.47 \times 10^{-07}$	-5.13
2193	162,322	0.15	$6.04 \times 10^{-08}$	-5.51	2181	405,893	0.64	$6.27 \times 10^{-07}$	-4.46
2182	171,554	5.15	$3.55 \times 10^{-06}$	-3.71	2187	429,187	0.51	$2.43 \times 10^{-07}$	-4.89
2187	171,842	0.17	$1.19 \times 10^{-07}$	-5.20	2181	430,234	0.20	$9.41 \times 10^{-08}$	-5.28
2187	174,357	0.66	$5.25 \times 10^{-07}$	-4.55	2176	449,272	12.23	$3.07 \times 10^{-06}$	-3.76
2192	174,935	0.40	$3.31 \times 10^{-07}$	-4.76	2193	459,392	0.12	$2.09 \times 10^{-08}$	-5.97
2194	177,766	0.17	$1.57 \times 10^{-07}$	-5.09	2188	475,391	7.74	$7.99 \times 10^{-07}$	-4.37
2199	185,793	0.19	$2.56 \times 10^{-07}$	-4.89	2194	479,545	9.54	$8.50 \times 10^{-07}$	-4.36
<b>2193</b>	<b>186,415</b>	<b>19.84</b>	<b><math>2.75 \times 10^{-05}</math></b>	<b>-2.85</b>	2194	486,578	25.51	$1.77 \times 10^{-06}$	-4.04
2198	186,996	0.21	$2.97 \times 10^{-07}$	-4.83	2194	511,097	24.90	$6.94 \times 10^{-07}$	-4.45
2199	191,883	0.20	$3.53 \times 10^{-07}$	-4.75	2188	517,881	0.75	$1.61 \times 10^{-08}$	-6.07
2198	208,524	0.53	$1.53 \times 10^{-06}$	-4.11	2193	527,510	0.89	$1.30 \times 10^{-08}$	-6.17
2190	211,276	0.11	$3.50 \times 10^{-07}$	-4.74	2181	528,840	0.65	$9.06 \times 10^{-09}$	-6.30
2199	235,014	0.17	$8.69 \times 10^{-07}$	-4.36	2193	534,125	0.20	$2.21 \times 10^{-09}$	-6.94
2192	272,823	0.12	$8.39 \times 10^{-07}$	-4.36	2193	534,310	0.19	$2.13 \times 10^{-09}$	-6.96
2198	273,950	0.11	$7.79 \times 10^{-07}$	-4.41	2198	534,527	0.45	$4.96 \times 10^{-09}$	-6.60
2186	274,243	0.21	$1.48 \times 10^{-06}$	-4.10	2198	536,801	0.13	$1.30 \times 10^{-09}$	-7.18
2191	275,096	0.18	$1.29 \times 10^{-06}$	-4.17	2198	536,823	0.21	$2.08 \times 10^{-09}$	-6.98
2191	275,569	0.77	$5.44 \times 10^{-06}$	-3.55	2196	537,259	0.12	$1.19 \times 10^{-09}$	-7.22
2191	276,541	0.20	$1.42 \times 10^{-06}$	-4.13	2189	537,324	0.11	$1.09 \times 10^{-09}$	-7.24
<b>2185</b>	<b>278,479</b>	<b>2.76</b>	<b><math>1.96 \times 10^{-05}</math></b>	<b>-2.98</b>	2199	539,780	0.23	$2.11 \times 10^{-09}$	-6.98
<b>2196</b>	<b>279,590</b>	<b>4.96</b>	<b><math>3.52 \times 10^{-05}</math></b>	<b>-2.75</b>	2185	541,921	0.77	$6.37 \times 10^{-09}$	-6.46
<b>2196</b>	<b>281,070</b>	<b>13.32</b>	<b><math>9.45 \times 10^{-05}</math></b>	<b>-2.32</b>	2185	542,397	1.72	$1.39 \times 10^{-08}$	-6.12
<b>2185</b>	<b>295,318</b>	<b>9.42</b>	<b><math>6.33 \times 10^{-05}</math></b>	<b>-2.47</b>	2185	543,753	0.37	$2.84 \times 10^{-09}$	-6.81
2190	302,940	0.20	$1.24 \times 10^{-06}$	-4.18	2197	545,747	0.26	$1.84 \times 10^{-09}$	-7.03
<b>2180</b>	<b>316,352</b>	<b>3.48</b>	<b><math>1.95 \times 10^{-05}</math></b>	<b>-2.96</b>	2190	558,169	11.57	$4.86 \times 10^{-08}$	-5.59
2191	316,680	0.19	$1.05 \times 10^{-06}$	-4.26	2196	561,278	12.31	$4.54 \times 10^{-08}$	-5.64
2186	326,599	0.19	$9.38 \times 10^{-07}$	-4.30	2196	565,865	20.20	$6.12 \times 10^{-08}$	-5.51
<b>2180</b>	<b>339,506</b>	<b>2.73</b>	<b><math>1.14 \times 10^{-05}</math></b>	<b>-3.20</b>	2190	618,399	17.45	$4.95 \times 10^{-09}$	-6.59
2191	339,838	0.13	$5.60 \times 10^{-07}$	-4.53	2184	636,299	54.23	$6.51 \times 10^{-09}$	-6.45
2190	343,541	0.17	$6.74 \times 10^{-07}$	-4.45	2184	651,571	4.35	$2.45 \times 10^{-10}$	-7.88

Note: Impact probabilities  $> 10^{-5}$  are highlighted in bold.



**Fig. 14.** Cumulative impact probability (upper) and cumulative number of keyholes found (lower), each as a function of diminishing keyhole width. The cumulative impact probability over all potential impacts is  $3.7 \times 10^{-4}$ .



**Fig. 15.** Probability (with corresponding  $1\sigma$  error bars) of having at least one close approach (CA) within a given distance for different time intervals. The dashed curve is for a node crossing cycle, while solid lines are for 1 year, 1000 year, and 1,000,000 year. The Earth radius ( $R_{\oplus}$ ) and lunar distance (LD) are marked by vertical lines.

**Table 10**Resonances associated with the eight potential impacts with impact probability  $> 10^{-5}$ .

Year	$\zeta_{2135}$ (km)	Post-2135 period (year)	Resonance	Res. period (year)	$\Delta P$ (year)
2193	186,415	1.2342	58 year/47 rev	1.2340	+0.0002
2185	278,479	1.2215	50 year/41 rev	1.2195	+0.0020
2196	279,590	1.2213	61 year/50 rev	1.2200	+0.0013
2196	281,070	1.2211	61 year/50 rev	1.2200	+0.0011
2185	295,318	1.2194	50 year/41 rev	1.2195	-0.0001
2180	316,352	1.2169	45 year/37 rev	1.2162	+0.0007
2180	339,506	1.2144	45 year/37 rev	1.2162	-0.0018
2175	368,877	1.2116	40 year/33 rev	1.2121	-0.0005

**Table 11**

Formal uncertainties with and without simulated OSIRIS-REx pseudo-range measurements as described in the text.

	Uncertainties	
	Reference	With sim. obs.
$A_T$ ( $10^{-16}$ au/d)	2.52	0.38
<i>2020-January-04 position (km)</i>		
Radial	1.539	0.008
Transverse	0.855	0.033
Normal	2.461	0.098
<i>2020-January-04 velocity (mm/s)</i>		
Radial	0.662	0.005
Transverse	0.400	0.002
Normal	1.388	0.029
$\zeta_{2135}$ (km)	58,000	1000

## 6. OSIRIS-REx science

Continued study of Bennu's trajectory is a significant element of the OSIRIS-REx science investigation. In particular, the characterization of the Yarkovsky effect is planned to be conducted on two tracks. On one track, Earth-based radio tracking of the spacecraft and optical navigation images of the asteroid from the spacecraft will be used to derive high-precision asteroid position measurements. These position updates will afford refined estimates of the nongravitational accelerations that the asteroid experiences. On the other track, science observations by the OSIRIS-REx spacecraft will allow the development of a complete thermophysical model of the asteroid, yielding a precise estimate of the thermal recoil acceleration, as well as direct and reflected solar radiation pressure acting on the body. A comparison of the acceleration profile from these two independent approaches will provide significant insight into the quality of current thermophysical models, and, for example, the extent to which surface roughness affects the net thermal recoil acceleration (Rozitis and Green, 2012).

But first the OSIRIS-REx must rendezvous with Bennu, and knowledge of the asteroid position is required for accurate navigation of the spacecraft during the initial encounter. Our current prediction calls for Radial–Transverse–Normal (RTN) position uncertainties of (3.3, 3.8, 6.9) km on 2018–September–10, during the planned OSIRIS-REx rendezvous. These are formal  $1\sigma$  error bars, and may not account for some unmodelled systematic effects, although we are not aware of any that are significant. In any case, such low uncertainties suggest that asteroid ephemeris errors will not be a significant complicating factor during the OSIRIS-REx rendezvous with Bennu.

To characterize the Bennu ephemeris improvement provided by the OSIRIS-REx mission, we simulate 8 post-rendezvous, pseudo-range points from the geocenter to the asteroid center of mass. The simulated measurements are placed at monthly intervals from 2018–December–01 to 2019–July–01, and they assume an a priori uncertainty of  $0.1 \mu\text{s}$  in time delay, which translates to 15 m in range. The trajectory constraints from the OSIRIS-REx radio science

effort are likely to be somewhat better than assumed for this study. Table 11 lists the uncertainties obtained before and after the inclusion of these simulated OSIRIS-REx radio science data. We find that the uncertainty in the transverse nongravitational acceleration parameter  $A_T$ , and by extension the uncertainty in the mean  $da/dt$ , drops by a factor of 6.6, bringing the precision to better than 0.1%.

The OSIRIS-REx radio science observations will not only refine the Yarkovsky acceleration acting on the asteroid, but also enable significantly improved future predictions. Table 11 reveals that our current predictions call for position uncertainties of a few kilometer at the end of proximity operations on 2020–January–04, which could be reduced to under 100 m with the simulated mission data. The associated velocity uncertainties are of order 1 mm/s with current information, but could fall by a factor 50 or more with the OSIRIS-REx data.

Similarly, we find that the OSIRIS-REx radio science data could narrow the  $\zeta_{2135}$  uncertainty region on the 2135  $b$ -plane by a factor  $\sim 60$ . This would be similar to the reduction in uncertainty seen between the Milani et al. (2009) paper and the present paper. The implication is that the hazard assessment will be dramatically altered by the OSIRIS-REx radio science effort. The self-similar nature of the keyholes on the 2135  $b$ -plane suggest that the cumulative probability is likely to remain around  $10^{-4}$ , although if the nominal  $\zeta_{2135}$  prediction does not change appreciably the cluster of relatively wide keyholes near the current nominal (Fig. 13) could lead to a cumulative probability of impact in excess of  $10^{-2}$ .

Besides providing direct radio science position measurements of the asteroid, OSIRIS-REx will refine and test other aspects of the Bennu ephemeris problem. The mission objectives include

- a search for outgassing and the incorporation of any activity into force models,
- direct measurement of the asteroid mass, providing ground truth for the mass determination technique presented here,
- precision radiometry of both reflected and thermally emitted radiation with high spatial resolution, providing ground truth for the thermal accelerations presented in this paper, and
- analysis of the returned sample, which will provide a direct measurement of the thermal, dielectric, and bulk density of the asteroid surface.

## 7. Discussion and conclusions

Understanding of an asteroid's physical properties becomes essential whenever the Yarkovsky effect or other nongravitational accelerations are a crucial aspect of the orbit estimation problem. Radar astrometry of asteroids can provide surprising and important constraints, not only on an asteroid's orbit, but also on its physical properties. In the case of Bennu, this information has immense value for space mission designers. We have seen that the availability of well-distributed radar astrometry over timespans of order a decade can constrain asteroid orbits to the extent that

precise estimates of the Yarkovsky effect can be derived. When coupled with thermal inertia information derived from other sources, such as the Spitzer Space Telescope, important parameters such as mass, bulk density and porosity can be derived. Combining Yarkovsky detections with thermal inertia measurements to infer the asteroid mass can be implemented on other near-Earth asteroids, including potential space mission targets. This technique is the focus of ongoing work. Indeed, Bennu clearly demonstrates that even weak radar detections can have considerable science value, raising the imperative to aggressively pursue every available radar ranging opportunity for potential Yarkovsky candidates.

Our bulk density estimate for Bennu implies a primitive body with high porosity of  $40 \pm 10\%$ . The implication is that Bennu must be comprised of a gravitationally bound aggregate of rubble, a conclusion that is reinforced by its shape, which is spheroidal with an equatorial bulge consistent with the downslope movement and accumulation of loose material at the potential minimum found at the equator (Nolan et al., 2013). This bodes well for the OSIRIS-REx sample collection effort, which requires loose material at the surface for a successful sample collection, although nothing in this study constrains the size distribution of the surface material.

The statistical encounter frequency with Earth (Fig. 15) can be used to understand the rate of encounters that could alter the shape and spin state of a body through tidal interactions (e.g., Walsh and Richardson, 2008; Nesvorný et al., 2010). Scheeres et al. (2005) have shown that tidal interactions at a distance of 6 Earth radii can appreciably alter the spin state of 99942 Apophis. More distant encounters could still excite the spin state enough to induce seismic activity, leading to a periodic resurfacing of the asteroid that may have implications for interpretation of Bennu samples returned by OSIRIS-REx.

We have seen that the current levels of uncertainty in Bennu's orbit are low enough that unprecedented levels of accuracy are required in the dynamical model that governs the trajectory. For example, the relativistic perturbation of planetary gravity fields, in particular that of Earth, must be incorporated to obtain reliable results. The future addition of OSIRIS-REx radio science data will again decrease the orbital uncertainties by 1–2 orders of magnitude, which will likely require even finer scale refinements to our dynamical model than used here. However, difficulties in understanding the proper statistical treatment of asteroid optical astrometry, and in particular the identification of statistical outliers, will likely remain a dominant source of uncertainty that is not properly captured by a posteriori covariance analysis.

Thus our findings for the post-OSIRIS-REx orbital uncertainties of Bennu may be illusory. The finding that  $\zeta_{2135}$  uncertainties may be reduced as low as 1000 km with the OSIRIS-REx radio science data assumes that our Yarkovsky model, including the asteroid spin state, holds through 2135. Thus a host of model refinements may be necessary to properly characterize the trajectory out to 2135. Notwithstanding the next radar observation opportunity in January 2037, we may reach an uncertainty limit that prevents us from improving predictions any further until models can improve or the prediction interval is significantly reduced. As an example, the post-2135 predictability will markedly improve after the 0.005 au Earth close approach in 2060, and it is reasonable to expect that at least some potential impacts will persist until that time.

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## Appendix A. Finding the best power law to model the Yarkovsky effect

We want to find the value of  $d$  such that the transverse acceleration  $A_T(r/r_0)^{-d}$ ,  $r_0 = 1$  au, provides the best match to the Yarkovsky acceleration acting on Bennu. We can neglect the seasonal component of the Yarkovsky effect. In fact, the diurnal component is usually the dominant one (Vokrouhlický et al., 2000). Moreover, the obliquity of Bennu is  $175^\circ$  and the seasonal component vanishes when the spin axis is normal to the orbital plane (Bottke et al., 2006). For the diurnal component of the Yarkovsky effect we have that the transverse acceleration is (Vokrouhlický, 1998)

$$a_t = \frac{4(1-A)}{9} \phi(r) f(\theta) \cos(\gamma), \quad f(\theta) = \frac{0.5\theta}{1 + \theta + 0.5\theta^2} \quad (A1)$$

where  $A$  is the Bond albedo,  $\phi$  the standard radiation force factor at heliocentric distance  $r$ ,  $\theta$  the thermal parameter, and  $\gamma$  the obliquity.

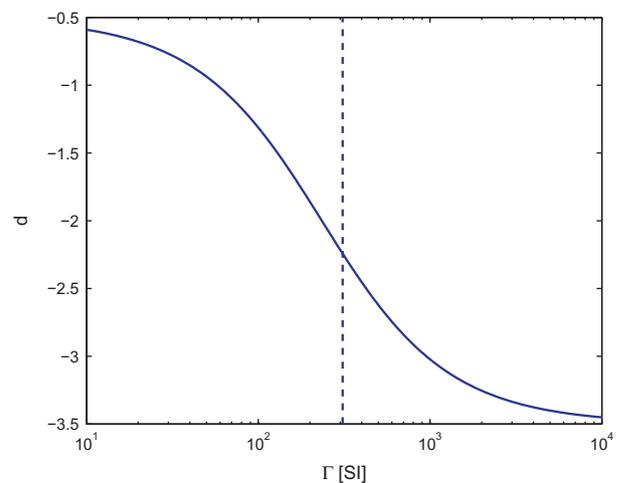


Fig. 16. Dependency of transverse acceleration exponent  $d$  on thermal inertia  $\Gamma$  for Bennu.

The dependence on  $r$  is contained in  $\phi(r) \propto r^{-2}$  and  $f(\theta)$ . As a matter of fact,  $\theta$  depends on the subsolar temperature  $T_{\star}$ , and  $T_{\star}$  depends on  $r$ :

$$\theta = \frac{\Gamma}{\epsilon \sigma T_{\star}^3} \sqrt{\frac{2\pi}{P}}, \quad T_{\star} = \sqrt[4]{\frac{(1-A)G_{\odot}}{\sigma \epsilon} \left(\frac{1 \text{ au}}{r}\right)^2} \quad (\text{A2})$$

where  $\Gamma$  is the thermal inertia,  $\epsilon$  the emissivity,  $\sigma$  the Stefan–Boltzmann constant,  $G_{\odot} = 1365 \text{ W/m}^2$  is the solar constant, and  $P$  the rotation period. Thus,  $\theta \propto r^{3/2}$ .

We want to approximate  $f(\theta)$  with a power law  $(r/r_0)^{\psi}$ :

$$f(\theta) \simeq c(r/r_0)^{\psi} \Rightarrow \log f(\theta) \simeq \log c + \psi \log(r/r_0). \quad (\text{A3})$$

By differentiating with respect to  $r$  we find:

$$\psi = r \left. \frac{\partial \log f(\theta)}{\partial r} \right|_{r=r_0}. \quad (\text{A4})$$

From the chain rule we obtain

$$\frac{\partial \log f(\theta)}{\partial r} = \frac{1}{f(\theta)} \frac{\partial f(\theta)}{\partial \theta} \frac{\partial \theta}{\partial r}. \quad (\text{A5})$$

Evaluating this equation for  $r = r_0$  and using the physical quantities as in Table 4 yields  $\psi \simeq -0.24$ . Therefore,  $d \simeq 2.24$ , which is in good agreement with the value 2.25 found numerically in Section 3.1 (see Fig. 16).

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